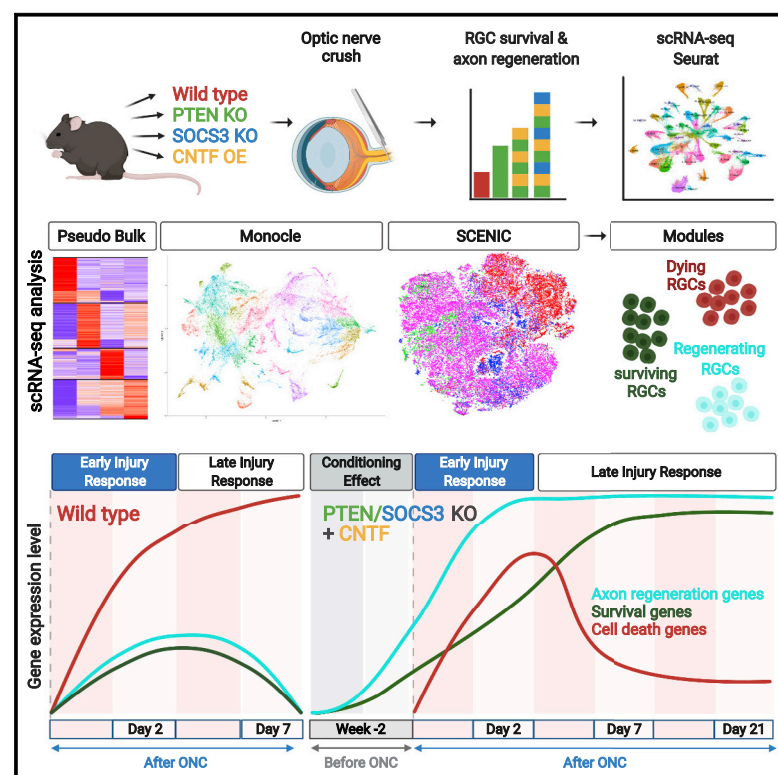


# Overlapping transcriptional programs promote survival and axonal regeneration of injured retinal ganglion cells

## Graphical abstract



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## In brief

Several interventions promote survival and regeneration of retinal ganglion cells following injury. scRNA-seq analysis shows that these interventions downregulate a gene expression program associated with cell death and upregulate programs associated with survival and regeneration. Overexpression of some regeneration module genes enhances RGC survival and axon regeneration *in vivo*.

## Highlights

- Activating mTOR and JAK/STAT signaling promotes neuronal survival and regeneration
- scRNA-seq reveals transcriptional programs regulated by these interventions
- Distinct gene expression modules correlate with apoptosis, survival, and regeneration
- Overexpression of regeneration module genes promotes both survival and regeneration



## Article

# Overlapping transcriptional programs promote survival and axonal regeneration of injured retinal ganglion cells

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## SUMMARY

Injured neurons in the adult mammalian central nervous system often die and seldom regenerate axons. To uncover transcriptional pathways that could ameliorate these disappointing responses, we analyzed three interventions that increase survival and regeneration of mouse retinal ganglion cells (RGCs) following optic nerve crush (ONC) injury, albeit not to a clinically useful extent. We assessed gene expression in each of 46 RGC types by single-cell transcriptomics following ONC and treatment. We also compared RGCs that regenerated with those that survived but did not regenerate. Each intervention enhanced survival of most RGC types, but type-independent axon regeneration required manipulation of multiple pathways. Distinct computational methods converged on separate sets of genes selectively expressed by RGCs likely to be dying, surviving, or regenerating. Overexpression of genes associated with the regeneration program enhanced both survival and axon regeneration *in vivo*, indicating that mechanistic analysis can be used to identify novel therapeutic strategies.

## INTRODUCTION

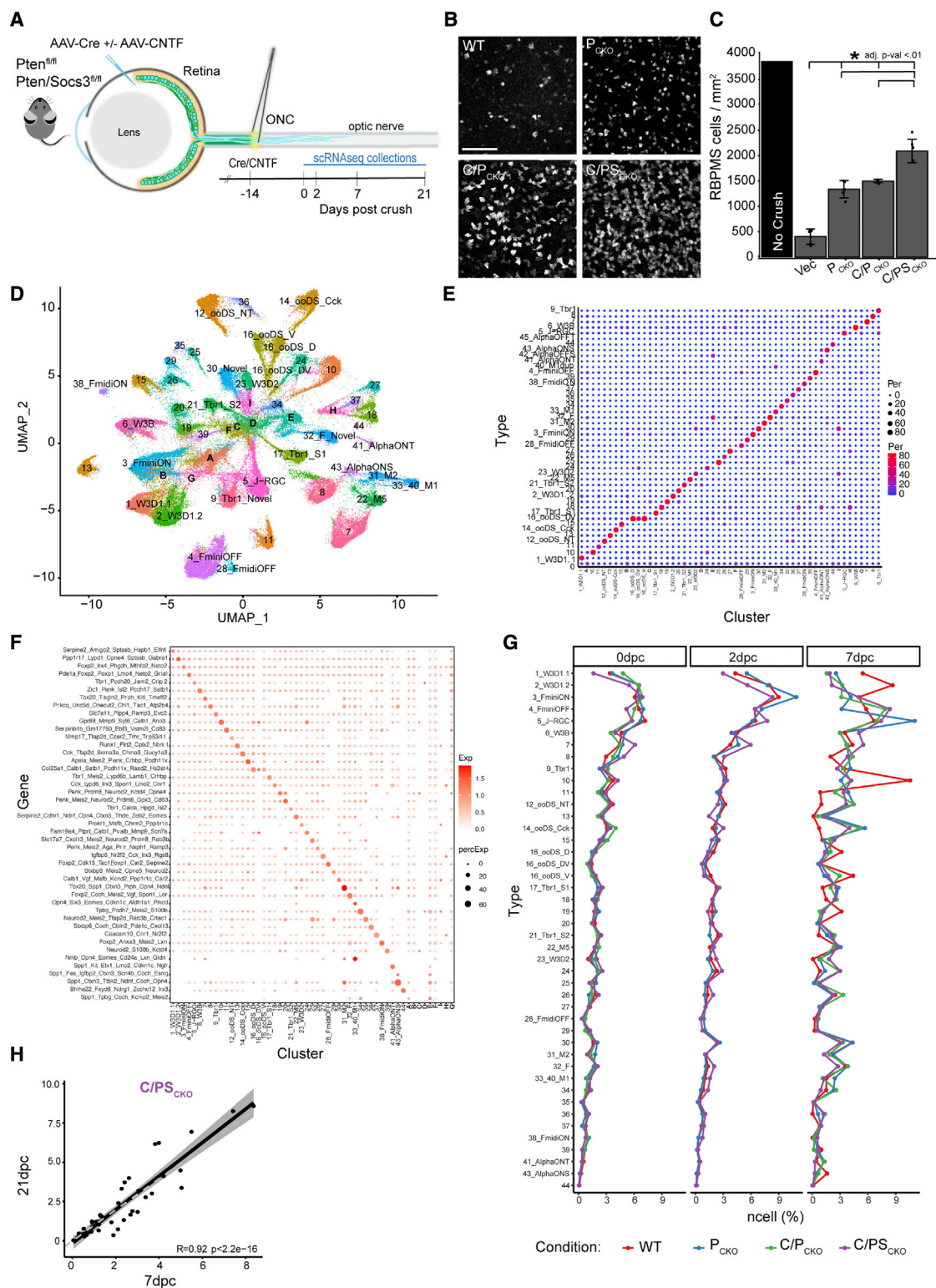
Damage to the axons of central nervous system (CNS) neurons usually leads to permanent functional deficits. Adult CNS neurons have limited capacity to regenerate axons and form new synapses, and in many cases, they die. As Ramón y Cajal wrote a century ago, “In the adult centers, the nerve paths are something fixed, ended, and immutable. Everything may die, nothing may be regenerated. It is for the science of the future to change, if possible, this harsh decree” (Ramon y Cajal, 1928). In an attempt to meet this challenge, many groups have used models of axonal injury to seek molecules that improve axonal regeneration and neuronal survival. One intensively studied model is optic nerve crush (ONC), which severs the axons of retinal ganglion cells (RGCs), the projection neurons that transmit visual information from the retina to the rest of the brain. In mice, ~90% of RGCs die during the month following ONC, and few if any of the survivors extend new axons more than a few hundred micrometers past the site of injury (Winter et al., 2022). Using this model, several interventions have been discovered that improve sur-

vival and/or axon regeneration, but to date, none have been sufficient to restore useful vision.

Our goal in this study was to analyze the molecular effects of these interventions, with the aim of elucidating pathways that promote or constrain neuronal survival and axonal regeneration. To this end, we focused on three manipulations: deletion of genes encoding phosphatase and tensin homolog (PTEN) and suppressor of cytokine signaling 3 (SOCS3) and delivery of ciliary neurotrophic factor (CNTF). Each of the three, separately and in combination, have been shown to enhance RGC survival and axon regeneration. The combination of the three is more effective than any one alone, although even in this condition few axons regenerate to their targets (Luo and Park, 2012; Park et al., 2008; Pernet et al., 2013; Smith et al., 2009; Sun et al., 2011; Williams et al., 2020; Xie et al., 2021).

Our strategy relied on high-throughput single-cell RNA sequencing (scRNA-seq). We profiled uninjured, injured, and treated RGCs and classified them into 46 distinct types using recently described criteria (Tran et al., 2019). We showed previously that survival varies nearly 100-fold among types following ONC (Duan et al., 2015; Tran et al., 2019); hence, we asked





**Figure 1. Interventions preserve type identity and increase survival of most RGC types after ONC**

(A) AAV2-Cre was injected into the vitreous body of *Pten*<sup>fl/m</sup> (P<sup>CKO</sup>) or *Pten*<sup>fl/m</sup>*Socs3*<sup>fl/m</sup> (PS<sup>CKO</sup>) mice to delete the floxed genes 2 weeks before crushing the optic nerve (ONC). AAV2 encoding CNTF was co-injected as indicated (C/P<sup>CKO</sup> or C/PS<sup>CKO</sup>). RGCs were collected for scRNA-seq at indicated times thereafter.

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whether interventions that enhance survival act selectively on vulnerable or resilient types. Second, we collected RGCs that had regenerated axons and compared them with RGCs that had survived but not regenerated, asking whether interventions promote regeneration of particular types. Next, we used five independent computational methods to analyze gene expression by RGC type, state, time after injury, and intervention, seeking expression patterns that correlated with any of these variables. These methods converged on three groups of genes, one preferentially expressed by RGCs destined to die, another by RGCs that survived but did not regenerate, and a third by RGCs that regenerated axons. These expression modules provide insights into molecular mechanisms that regulate neuronal survival and axon regrowth. Finally, we showed that manipulating several genes from the regeneration module enhances axonal regeneration following ONC, supporting the idea that this strategy can serve as a novel source of therapeutic targets. In a companion paper, we provide further computational and functional analyses of genes that play key roles in the survival of injured RGCs (Tian et al., 2022, accompanying paper in this issue of *Neuron*).

## RESULTS

We analyzed effects of three manipulations known to promote survival and axon regeneration from RGCs following ONC: deletion of *Pten*, deletion of *Socs3*, and overexpression (OE) of CNTF. PTEN and SOCS3 are endogenous inhibitors of mTOR and Jak/Stat signaling, respectively, and CNTF activates Jak/Stat signaling. As detailed in the discussion, their modes of action in promoting axon regeneration are incompletely understood. We tested them in three combinations: (1) conditional deletion of *Pten* ( $P_{CKO}$ ), (2) conditional deletion of *Pten* combined with OE of CNTF ( $C/P_{CKO}$ ), and (3) conditional deletion of both *Pten* and *Socs3* combined with OE of CNTF ( $C/PS_{CKO}$ ). Mice also bore the Thy1-yellow fluorescent protein (YFP) line 17 transgene (called YFP17 here; Feng et al., 2000; Sun et al., 2011), which selectively labels RGCs.

Experiments were initiated by intravitreal injection of an adeno-associated virus (AAV), AAV2-Cre, alone or in combination with AAV2-CNTF in YFP17<sup>het</sup>*Pten*<sup>flox/flox</sup> or YFP17<sup>het</sup>*Pten*<sup>flox/flox</sup>; *Socs3*<sup>flox/flox</sup> mice. With this route of administration, the AAV2 serotype primarily infects cells of the ganglion cell layer, which contains RGCs and displaced amacrine cells, so deletion is strongly biased to these two cell types. Two weeks following injection, we collected retinas from some of the treated mice and performed ONC on others, then collected retinas 2, 7, or 21 days

later (Figure 1A). We also collected retinas from AAV2-Cre-infected YFP17<sup>het</sup> mice and uninfected YFP17<sup>het</sup>*Pten*<sup>flox/flox</sup> or YFP17<sup>het</sup>*Pten*<sup>flox/flox</sup>; *Socs3*<sup>flox/flox</sup> mice (Table S1). In subsequent analyses, we found no significant differences in types, type frequencies, or gene expression among these latter groups; we therefore pooled data from them and refer to the combined group as “wild type” (WT) hereafter.

In each case, we collected RGCs by fluorescence-activated cell sorting (FACS) and profiled them by droplet-based scRNA-seq (10X platform; Zheng et al., 2017). We identified RGCs based on their expression of pan-RGC markers including the RNA-binding protein, RBPMS, the class 4 POU-domain transcription factors (TFs), POU4F1-3 (Brn3a-c), and the glutamate transporter SLC17A6 (VGLUT2). RGCs comprised ~90% of profiled cells. We considered only RGCs hereafter, excluding other cell classes and putative doublets. To estimate the fraction of RGCs that had been infected with AAV2 Cre and/or CNTF, we quantified sequencing reads that mapped to the WPRE element contained in our AAV vectors (see STAR Methods). Such reads were detected in ~80% in all libraries, with no substantial differences among RGC types (Figure S1A). *In situ* hybridization confirmed deletion of *Pten* from AAV2-Cre-infected  $P_{CKO}$  retina (Figure S1B).

### Type-independent enhancement of RGC survival

RGC survival was enhanced by all three interventions in the order  $C/PS_{CKO} > C/P_{CKO} > P_{CKO} > WT$ , with  $C/PS_{CKO}$  preserving >50% of RGCs at 21 days post crush (dpc) (Figures 1B and 1C). To ask whether these interventions selectively affect specific RGC types or evenly scale across all 46 types, we first clustered RGCs and assigned clusters to atlas types using markers we had identified and validated in WT mice (Tran et al., 2019). Most clusters had 1:1 matches with atlas types, and >86% of RGCs could be confidently assigned to a type (Figures 1D–1F; unassigned cells are discussed further below). Moreover, the specificity of type marker expression was largely retained after crush, although expression was somewhat degraded in WT 7 dpc RGCs (Figure S1C). Thus, neither ONC nor interventions had detectable effects on cell type identity.

We then assessed the frequencies of RGC types at each time point and in each condition. Frequencies of RGC types did not differ significantly among groups at 0 or 2 dpc, but some modest differences emerged at 7 dpc (Figure 1G). For example, two types of ON-OFF direction-selective RGCs (ooDSGCs) and J-RGCs survived disproportionately in some or all groups (Figures S1D and S1E). We also grouped RGCs into subclasses

(B) Immunohistochemistry in retinal whole-mounts for the pan-RGC marker, RBPMS, shows increased survival of RGCs at 21 dpc following  $P_{CKO}$ ,  $C/P_{CKO}$  and  $C/PS_{CKO}$ . Scale bars, 100  $\mu$ m.

(C) RGC density (RBPMS+ cells/mm<sup>2</sup>, \*adjusted p value < 0.01) at 21 dpc compared with un-crushed control, measured from images such as those in (B).

(D) scRNA-seq data from all RGCs analyzed in this study displayed as a uniform manifold approximation and projection (UMAP). Numbers indicate RGC “novel” types as defined in the atlas presented in Tran et al. (2019). Letters (A–G) show clusters that could not be assigned to a type.

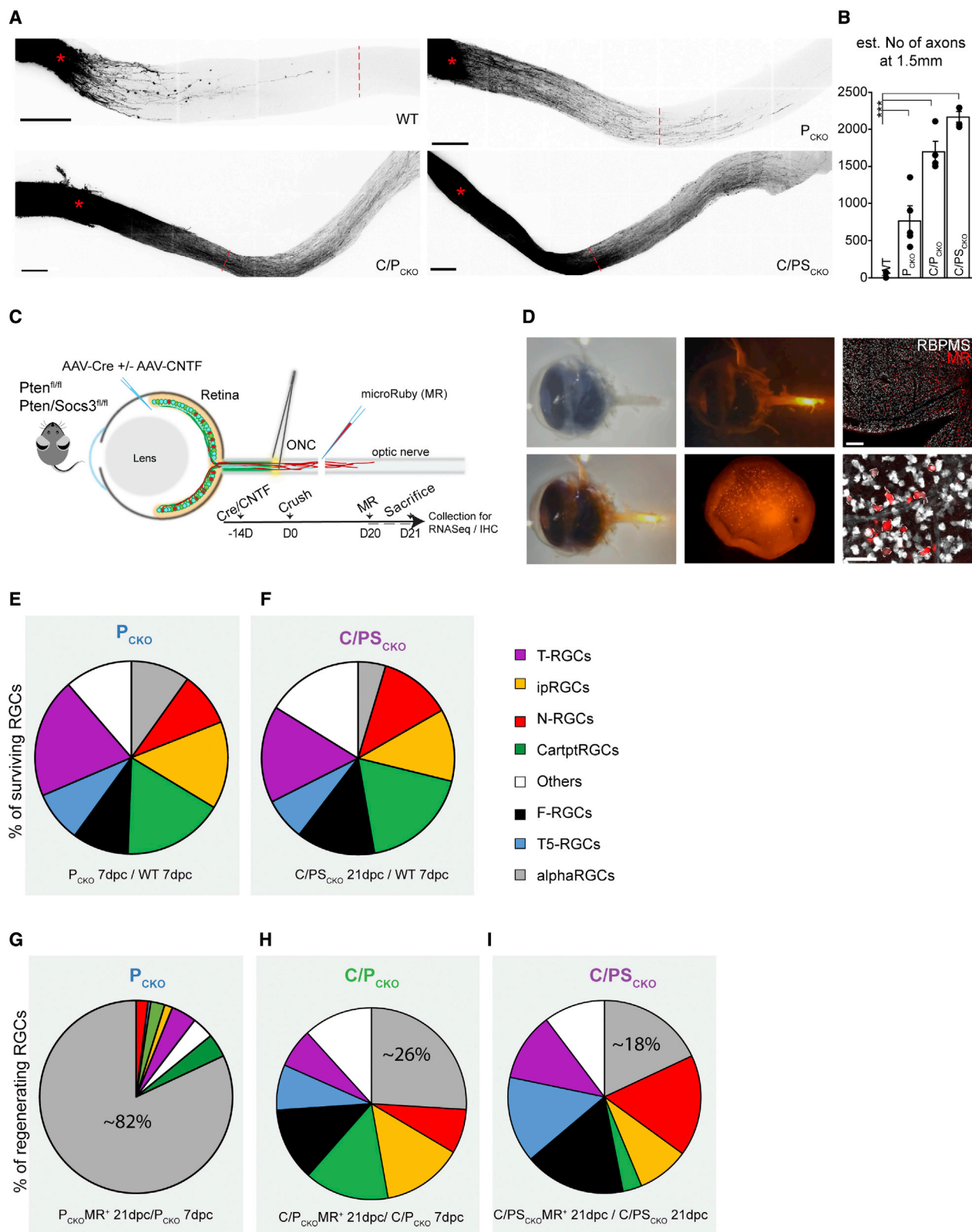
(E) Comparison of cell type mapping in the current dataset to the RGC atlas from Tran et al. (2019) shown as a confusion matrix. Dot sizes and colors represent the percentage of cells in each cluster on the x axis that match the atlas types on the y axis.

(F) Expression of gene marker combinations from the control RGC atlas in the current dataset. Color of the dot represents the average expression of the gene marker combination, and the dot size represents the proportion of cells expressing these markers.

(G) Proportion of types in WT and each intervention at 0, 2, and 7 dpc.

(H) Scatterplot showing correspondence ( $R_{\text{Pearson}} = 0.92$ ) between frequencies of  $C/PS_{CKO}$  RGCs of at 7 and 21 dpc. Each dot shows one RGC type. The dark line shows best fit with confidence interval indicated in gray.





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(as defined in Tran et al. [2019]) to minimize variability owing to the small numbers of RGCs in some clusters. Again, frequencies were similar across interventions ( $r^2 = 0.70\text{--}0.84$ ), albeit with some exceptions, including disproportionate survival of Cartpt-RGCs (which include ooDSGCs) and T-RGCs (which include J-RGCs) and disproportionate loss of T5-RGCs (Figures S1F–S1H). Finally, we compared frequency distributions at 7 and 21 dpc in the C/PS<sub>CKO</sub> group to ask whether some types were selectively preserved at later times but saw minimal differences ( $r = 0.92$ ; Figure 1H). Taken together, these data show that the improved survival driven by these interventions is observed across most RGC types and that the degree of neuroprotection generally scales with the cell type's innate resilience.

### Overcoming type-dependent RGC axon regeneration

We next asked which RGC types regenerated axons following ONC. We first quantified the extent of regeneration by injecting a fluorophore-tagged anterograde tracer intravitreally at 19 dpc, fixing and clearing optic nerves at 21 dpc, and counting axons in whole mounts. Regeneration was minimal in WT mice but enhanced by all three interventions in the order C/PS<sub>CKO</sub> > C/P<sub>CKO</sub> > P<sub>CKO</sub> (Figures 2A and 2B; Duan et al., 2015; Park et al., 2008; Sun et al., 2011). Axonal branching was seldom seen, as previously noted by Luo et al. (2013). This order was the same as that seen for survival (Figures 1B and 1C) but was not a consequence of enhanced survival in that the increase in regeneration (>100-fold) was far greater than the increase in survival (2- to 5-fold).

To separate RGCs that regenerated from those that survived but did not regenerate, we used a retrograde labeling method in which we injected a small fluorescently labeled dextran (MR, micro-Ruby) into the nerve stump ~1.5 mm distal to the crush site at 20 dpc (Zhang et al., 2019). The dextran was taken up by regenerating axons and retrogradely transported to RGC somata, which were also labeled with YFP (Figures 2C and 2D). Control experiments demonstrated that the method was efficient and specific: most RGCs were labeled in uninjured retina, but few if any RGCs were labeled following ONC in WT retina (Figure S2A). Thus, this labeling strategy efficiently and specifically marked regenerating axons that, based on dye spread, had extended  $\geq 1$  mm from the crush site (Figure 2D). Conversely, most non-retrogradely labeled RGCs had regenerated minimally if at all. We used FACS to isolate regenerating (MR<sup>+</sup>YFP<sup>+</sup>) and non-regenerating (MR<sup>+</sup>YFP<sup>-</sup>) RGCs 24 h after

tracer injection (Figure S2B), collected single cells in individual wells, and performed scRNA-seq using SmartSeq2 (SS2).

We obtained 120 single RGC transcriptomes from P<sub>CKO</sub> retinas, 46 from C/P<sub>CKO</sub> retinas (all MR<sup>+</sup>), and 245 from C/PS<sub>CKO</sub> retinas (179 MR<sup>+</sup> and 66 MR<sup>-</sup>). The distribution of RGCs that had regenerated axons differed among the groups: *Pten* deletion selectively promoted regeneration of alphaRGCs (Figure 2G), consistent with our previous results (Duan et al., 2015), whereas frequencies of regenerating RGCs in the C/PS<sub>CKO</sub> group approximately mirrored their proportion among survivors (Figures 2E and 2F); C/P<sub>CKO</sub> retinas showed an intermediate value (Figures 2H and 2I). Combining measurements of the amount of regeneration promoted by P<sub>CKO</sub> and C/PS<sub>CKO</sub> (Figure 2B) and the RGC types that regenerate (Figures 2G and 2I) revealed that similar numbers of regenerating RGCs are alphaRGCs in P<sub>CKO</sub> and C/PS<sub>CKO</sub> mice, indicating that most of the “additional” regenerating RGCs in the latter are non-alphaRGCs. This decline in the fraction of alpha RGCs across interventions—82% in P<sub>CKO</sub>, 26% in C/P<sub>CKO</sub>, and 18% in C/PS<sub>CKO</sub>—suggests that CNTF and *Socs3* deletion act together to overcome the type-specific barriers seen when only *Pten* is deleted.

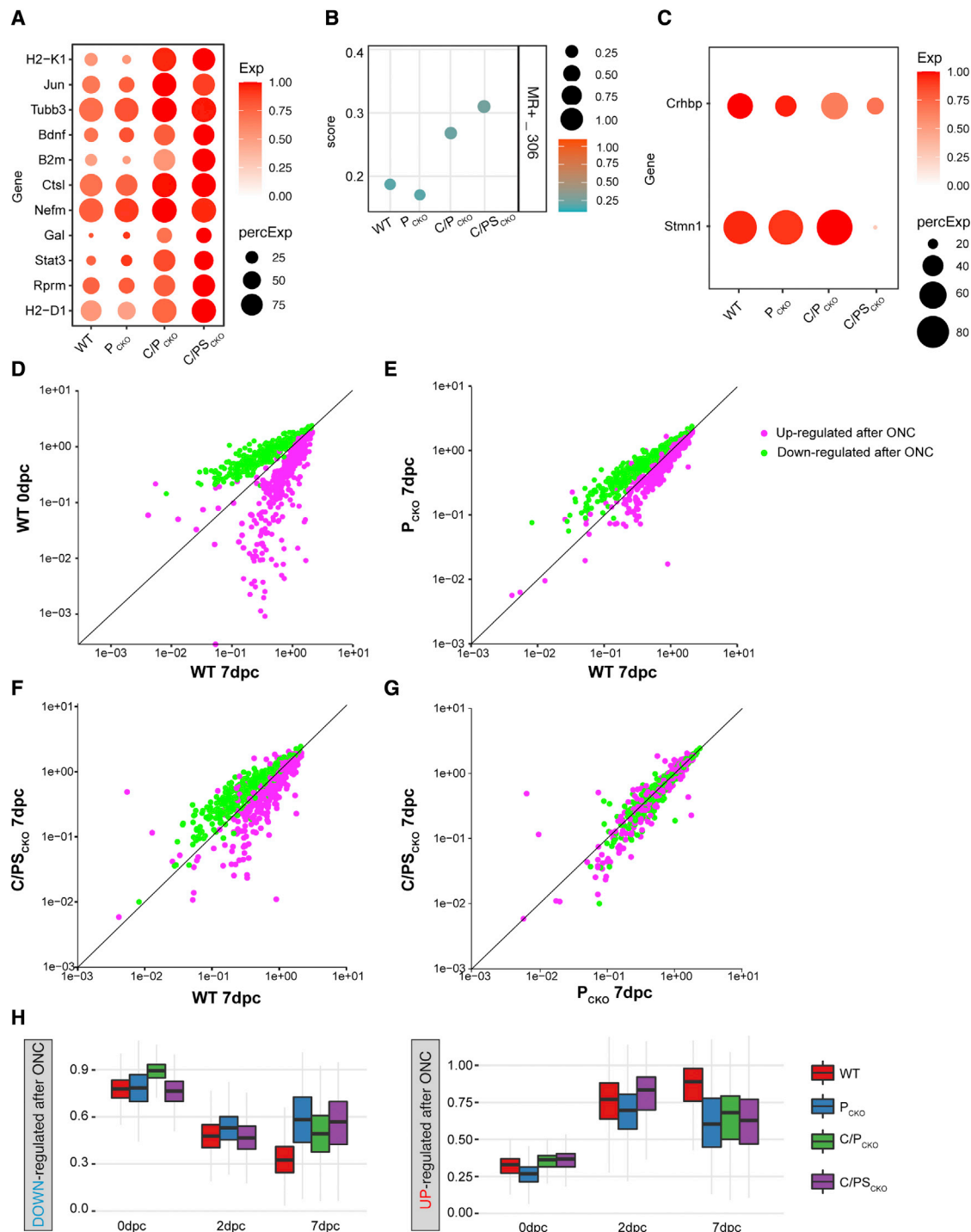
### Injury-independent effects of *Pten*, *Socs3*, and CNTF

We next undertook a detailed analysis of gene expression changes that result from manipulation of PTEN, SOCS3, and CNTF, some of which are likely to underlie their beneficial effects. Because we introduced AAV2 vectors 2 weeks prior to ONC to ensure efficient expression, it was possible that some transcriptional changes preceded injury. This might be akin to the “conditioning effect” observed in dorsal root ganglia, where a “priming” injury to the peripheral branch of the sensory neurons induces growth-promoting transcriptional changes that enhance regeneration of axons following a later injury to the central branch (Neumann and Woolf, 1999; Richardson and Issa, 1984). Therefore, we began by assessing injury-independent effects of these manipulations.

Two weeks after AAV2 injection (i.e., 0 dpc), 74 genes were up-regulated in C/P<sub>CKO</sub> and 51 in C/PS<sub>CKO</sub> RGCs compared with WT (>1.5-fold, Figure 3A; Table S2). Several of these genes have been annotated as regeneration-associated genes (RAGs) in prior studies (e.g., *Bdnf*, *Stat3*, and *Tubb3*; Figures 3A and S3A) (Chandran et al., 2016; Renthal et al., 2020; Yang et al., 2020). For a more comprehensive view, we generated a “RAG score” composed of the 306 differentially expressed (DE) genes

### Figure 2. Type-independent axon regeneration in C/PS<sub>CKO</sub>

- (A) Maximum projections through cleared optic nerves showing anterograde-labeled RGC axons at 21 dpc following injection of AAVs encoding Cre and/or CNTF into *Pten*<sup>fl/fl</sup> or *Pten*<sup>fl/fl</sup> *Socs3*<sup>fl/fl</sup> mice. An empty vector was injected into WT mice. Scale bars, 250  $\mu$ m; asterisk = crush site; red-dashed lines are 1.5 mm from crush site.
- (B) Estimated numbers of regenerating axons 1.5 mm distal to injury site at 21 dpc, from images such as those shown in (A). Error bar: SEM; p value by Kruskal-Wallis test and Bonferroni's post hoc: \*\*\*  $\leq 0.001$ . Vector  $n = 7$ , P<sub>CKO</sub>  $n = 5$ , C/P<sub>CKO</sub>  $n = 4$ , C/PS<sub>CKO</sub>  $n = 4$ .
- (C) Protocol for retrograde labeling of regenerating RGCs for SS2 collection. 5% dextran micro-Ruby (MR) was injected into the nerve stump at ~1 mm distal to ONC at 20 dpc.
- (D) Eyes collected 21 dpc. Left and upper middle panels show fluorescence of injected MR in nerve stump. Lower middle panel shows retrogradely labeled RGCs in a dissected C/PS<sub>CKO</sub> retina. Right panels from retina as in (D) but labeled with anti-RBPMS (pan-RGC marker in gray). Dashed lines outline MR<sup>+</sup> RGCs. Scale bars, 500  $\mu$ m (top) and 50  $\mu$ m (bottom).
- (E and F) Proportions of surviving RGCs by subclass in P<sub>CKO</sub> (E) and C/PS<sub>CKO</sub> (F) among RGCs collected by the 10x Genomics platform. All subclasses are present among surviving RGCs.
- (G–I) Proportions of regenerating RGCs (MR<sup>+</sup>) among retrograde-labeled RGCs collected by SS2 shown by subclass in P<sub>CKO</sub> (G), C/P<sub>CKO</sub> (H), and C/PS<sub>CKO</sub> (I).



**Figure 3. Injury-independent effects and mitigation of injury response induced by interventions**

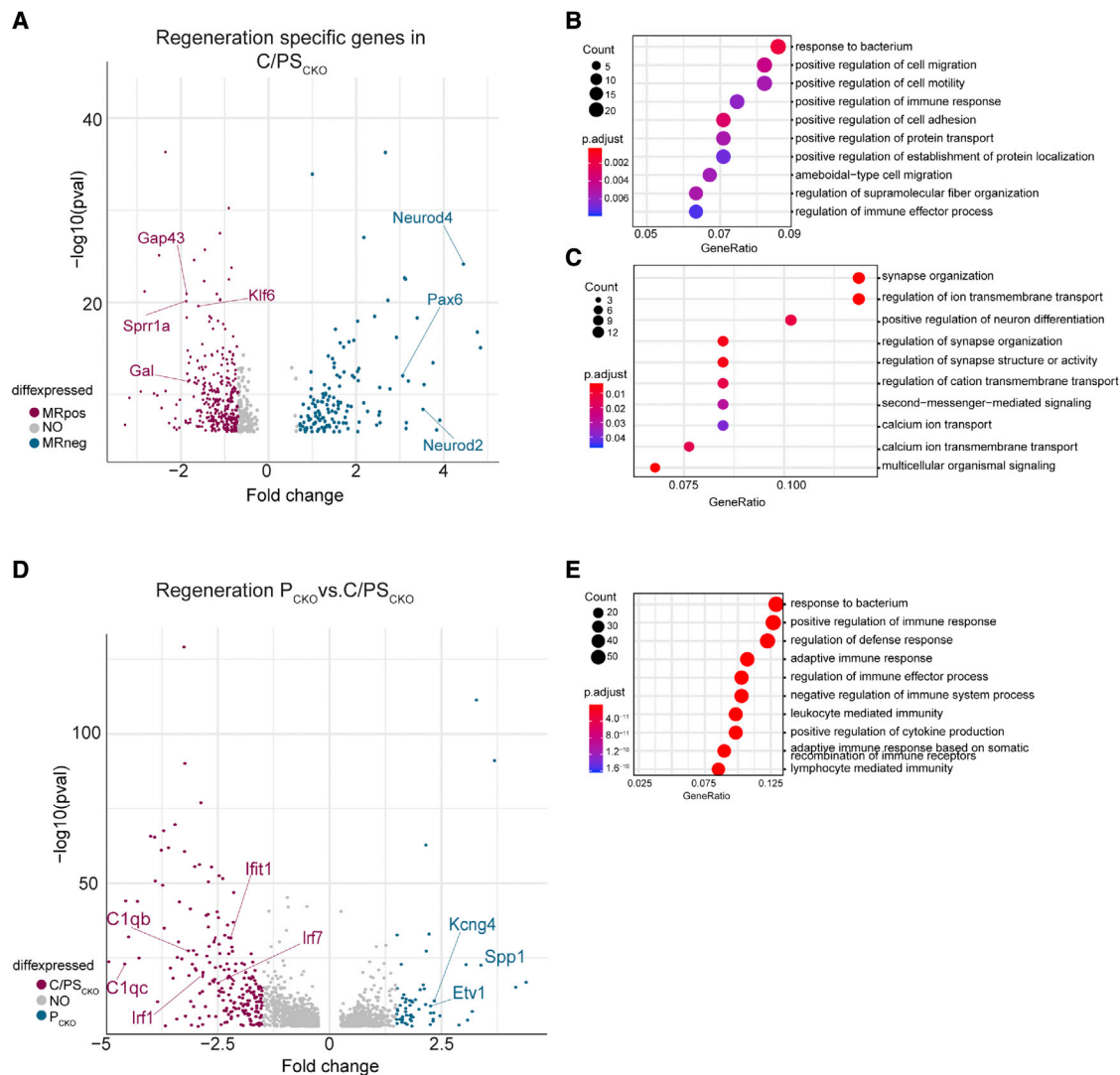
(A) Dotplot showing genes upregulated in C/P<sub>CKO</sub> and C/PS<sub>CKO</sub> compared with WT and P<sub>CKO</sub> prior to injury (0 dpc, 2 weeks after AAV injection).

(B) “Composite RAG score” (defined in text), compiled from 306 genes selectively expressed in regenerating (MR<sup>+</sup>) C/PS<sub>CKO</sub> RGCs.

(C) Dotplot showing *Crhbp* and *Stmn1* downregulation in C/PS<sub>CKO</sub> prior to injury.

(D–G) Scatterplots showing expression level in current dataset of 771 genes identified as upregulated (pink) or downregulated (green) after ONC (0.5–14 dpc) in a previous study (Tran et al., 2019). Responses in current data (WT 7 versus 0 dpc) are similar to those in Tran et al., showing reproducibility (D). In P<sub>CKO</sub> (E) or C/PS<sub>CKO</sub> (F), expression changes are attenuated or reversed. There are only minor differences between overall expression between P<sub>CKO</sub> and C/PS<sub>CKO</sub> (G).

(H) Boxplots of composite scores showing average expression of the upregulated or downregulated genes from (D) to (F) at 0, 2, and 7 dpc. Horizontal line = mean, box below = 25<sup>th</sup> percentile, box above = 75<sup>th</sup> percentile, gray lines = whiskers/range.



**Figure 4. Gene expression analysis of regenerating RGCs**

(A) Volcano Plot of genes differentially expressed between MR<sup>+</sup> and MR<sup>-</sup> RGCs from C/PS<sub>CKO</sub> retinas at 21 dpc. p value < 0.05, logFC > 0.6. Gray dots are genes not considered highly significant DE (logFC ≥ 0.6).

(B and C) Dotplots highlighting Top10 GO-pathways enriched in regenerating (MR<sup>+</sup>) RGCs compared with surviving (MR<sup>-</sup>) RGCs (B) or in surviving compared with regenerating RGCs (C).

(D) Volcano plot of genes differentially expressed between MR<sup>+</sup> P<sub>CKO</sub> and C/PS<sub>CKO</sub> RGCs. Genes associated with immune response or alphaRGCs are indicated in red and blue, respectively. Gray dots as in (A).

(E) Dotplot highlighting top 10 GO-pathways enriched in regenerating MR<sup>+</sup> C/PS<sub>CKO</sub> RGCs compared with MR<sup>+</sup> P<sub>CKO</sub> RGCs.

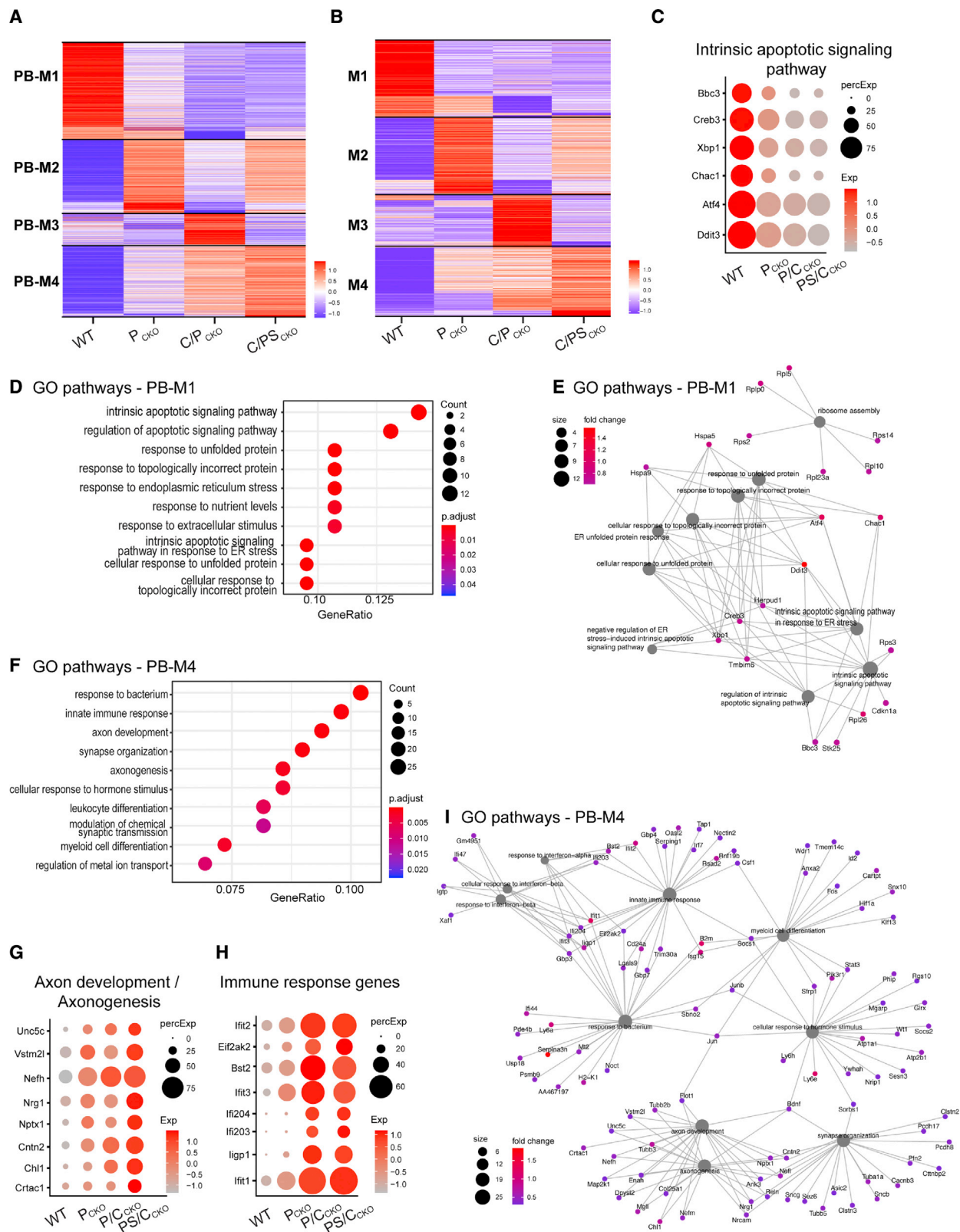
selectively expressed by regenerating (retrogradely labeled) RGCs in the C/PS<sub>CKO</sub> condition (see below, Figure 4). This score was substantially higher in C/P<sub>CKO</sub> and C/PS<sub>CKO</sub> RGCs compared with P<sub>CKO</sub> and WT RGCs (Figure 3B).

A smaller set of genes was downregulated in intact C/PS<sub>CKO</sub> RGCs relative to controls (16 genes, >1.5-fold, FDR < 0.01). They included *Crhbp*, which inhibits RGC regeneration (Tran et al., 2019), and *Stmn1*, which destabilizes microtubules and therefore could impair axon regeneration (Rubin and Atweh, 2004; Figures 3C and S3B). Together, these results imply that the interventions we tested may act in part by inducing an axon regeneration program prior to the injury.

## Interventions attenuate transcriptional responses of RGCs to injury

To assess gene expression changes following intervention and injury, we first plotted genes that had been identified as being downregulated (n = 412—green dots) or upregulated (n = 359—magenta dots) in WT mice in our previous study (Tran et al., 2019). Nearly all showed the same responses in the new dataset (Figure 3D), demonstrating (1) that our transcriptomic methods were reproducible and (2) that the 2 and 7 dpc time points captured both early- and late-stage injury response genes from the previous time course (0.5–14 dpc).





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The global effect of deleting *Pten* was to attenuate these changes. At 7 dpc, 73% of the genes downregulated after ONC in WT mice were expressed at significantly higher levels in  $P_{CKO}$  than in WT mice, and 51% of the genes upregulated after ONC in WT mice were expressed at significantly lower levels in  $P_{CKO}$  than in WT mice (Figure 3E). No downregulated and only 6% of the upregulated genes displayed the opposite trend. Thus, *Pten* deletion counteracts injury-induced changes in gene expression. Surprisingly, these injury-induced changes in gene expression differed little between RGCs from  $P_{CKO}$  retina and C/PS $_{CKO}$  retina (Figures 3E–3G), suggesting that *Pten* is the main driver of this mitigation effect.

To determine when *Pten* acts, we also compared the expression of these DE genes at 0 and 2 dpc. Interventions had minimal effect on changes in gene expression at either of these times but counteracted further alterations in expression patterns between 2 and 7 dpc (Figure 3H). Thus, signaling pathways regulated by *Pten* deletion divert RGCs from a degenerative path a few days after injury by re-establishing a more “normal” expression program that supports survival.

### Genes selectively expressed by regenerating RGCs

Even under conditions that ensure long-term survival, most RGCs fail to regenerate axons (<10% in C/PS $_{CKO}$  retina, calculated from Figures 1C and 2B). To identify genes that might promote regeneration, we compared regenerating (MR<sup>+</sup>) and non-regenerating (MR<sup>−</sup>) RGCs in the C/PS $_{CKO}$  intervention as described above (Figure 2).

Regenerating RGCs selectively expressed 306 genes (>1.5-fold, FDR < 0.01), many of which have been classified as RAGs (e.g., *Sprr1a*, *Klf6*, and *Gap43*; Bonilla et al., 2002; Jankowski et al., 2009; Latremoliere et al., 2018; Richner et al., 2014; Figure 4A). Gene ontology (GO) analysis showed enrichment of pathways related to regulation of cell migration/motility and cell adhesion (Figure 4B; Table S3). In contrast, RGCs that survived but did not regenerate showed enrichment of pathways related to synapse organization and neuronal differentiation, including TFs implicated in neurogenesis such as *Neurod2*, *Neurod4*, and *Pax6* (Figures 4A and 4C; Table S4; Cherry et al., 2011; Marquardt et al., 2001).

As noted above, C/PS $_{CKO}$  leads to more robust and less type-dependent regeneration of RGCs than  $P_{CKO}$  alone. To gain insight into factors that underlie this added benefit, we compared the transcriptomes of regenerating (MR<sup>+</sup>) RGCs in C/PS $_{CKO}$  and  $P_{CKO}$  retinas. Unsurprisingly, genes DE by regenerating  $P_{CKO}$  RGCs included marker genes for alphaRGCs (e.g., *Spp1*, and *Kcng4*; Duan et al., 2015), consistent with the type-specific regeneration of this group. In contrast, pathways selec-

tively upregulated by the triple intervention were related to immune responses, particularly interferon (IFN) and cytokine signaling, rather than to specific RGC types (Figures 4D and 4E). Thus, although survival appears to be promoted via the re-activation of developmental processes, axon regeneration may require the additional upregulation of RAGs and immune response programs, which, along with other genes discussed below, may contribute to overcoming the type-specific barriers of axon regeneration.

### Gene expression programs associated with degenerating, surviving, and regenerating RGCs

In each of the four conditions we analyzed (WT,  $P_{CKO}$ , C/ $P_{CKO}$ , and C/PS $_{CKO}$ ), different proportions of RGCs degenerated, survived, and regenerated axons. To identify gene expression programs involved in these three distinct responses, we performed four sets of analyses: (1) we combined all transcriptomes from each intervention at 7 dpc and searched for intervention-specific pathways. (2) We used Monocle3 (Qiu et al., 2017) to analyze gene co-expression in RGCs on a single-cell level. (3) We used Seurat (Hao et al., 2021) to assess RGCs that failed to map definitively to a specific type. (4) We used single-cell regulatory network inference and clustering (SCENIC; Aibar et al., 2017; van de Sande et al., 2020) to identify gene regulatory networks (GRNs). Remarkably, all four methods converged on a common set of gene modules DE by degenerating, surviving, and regenerating RGCs.

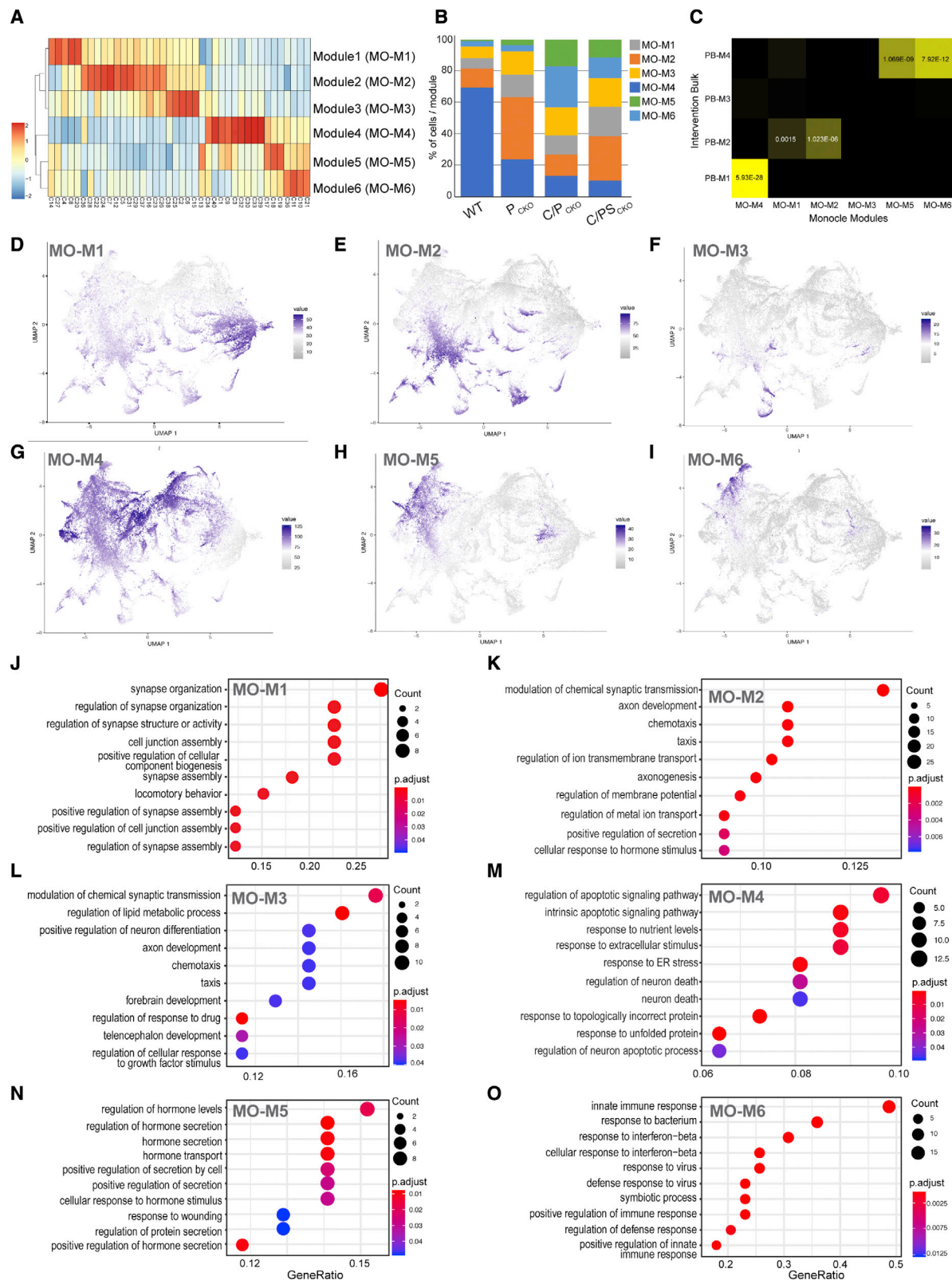
### Intervention-dependent gene expression

To ask whether the three interventions tested regulate distinct genes, we performed pairwise pseudo-bulk (PB) differential gene expression analysis at 7 dpc and looked for enriched pathways. We combined all RGCs subjected to each intervention and analyzed them in two ways: comparing each condition (WT,  $P_{CKO}$ , C/ $P_{CKO}$ , and C/PS $_{CKO}$ ) at 7 dpc to the sum of the others and each to the next less complex ( $P_{CKO}$  to WT, C/ $P_{CKO}$  to  $P_{CKO}$ , and C/PS $_{CKO}$  to C/ $P_{CKO}$ ). We sorted DE genes for both comparisons into 4 modules by k-means clustering (nclust = 4) (Figures 5A and 5B; Table S5). In principle, the first comparison would generate intervention-selective genes, whereas the second would reveal incremental effects of each added manipulation. In fact, however, both comparisons generated similar modules as judged by a hypergeometric test (Figure S4A).

As assessed by GO pathway analysis, genes in “PB” module 1 (PB-M1) were associated with pathways related to apoptotic signaling and stress (Figures 5C–5E and S5A). Many exhibited a gradual decline across interventions (WT >  $P_{CKO}$  > C/ $P_{CKO}$  > C/PS $_{CKO}$ ; Figure 5C). Conversely, PB-M4 was lowest in

### Figure 5. Gene modules revealed as genes selectively regulated by individual interventions

(A and B) Heatmaps showing genes selectively expressed at 7 dpc following each intervention, as calculated for each intervention against all others (A) or for each intervention compared with the one to its left. (B) Expression values of each gene (row) are averaged across all RGCs in an intervention (columns) and then Z scored prior to plotting. Black bars separate genes into 4 modules (PB-M1–4). (C) Dotplot showing expression of selected apoptotic pathway associated genes from PB-M1 at 7 dpc. (D and F) Top 10 GO-pathways enriched in PB-M1 (D) or PB-M4 (F) (logFC > 0.6, FDR < 0.001). (E and I) Cnet plot of top10 pathways for PB-M1 (E) or PB-M4 (I) with associated genes. Color of dots represents the fold change of genes. Size of the gray dots refer to the number of genes enriched with the GO-term. (G and H) Dotplots showing expression of genes implicated in axonogenesis (G) and immune responses (H) from PB-M4.



(legend on next page)



WT and increased across treatments ( $WT < P_{CKO} < C/P_{CKO} < C/PS_{CKO}$ ; Figures 5G and 5H). Many genes in this module were associated with axonogenesis, axon development, innate immune responses, and hormone signaling (Figures 5F–5I). They included *Reelin*, *Cntn2*, and RAGs such as *Nefh* and *Tubb3* (Figures 5I and S5B). PB-M2 and 3 were expressed at highest levels in  $P_{CKO}$  and  $C/P_{CKO}$ , respectively; enriched pathways included those related to synaptic transmission (PB-M2) and cytoplasmic translation (Figures S4B–S4G).

Together, this comparison shows that deleting *Pten* from RGCs, augmenting *Pten* deletion with CNTF, and then additionally deleting *Socs3* enhances the expression of genes associated with survival and regeneration and attenuates expression of genes associated with death and degeneration.

### Population-specific gene expression modules

We next analyzed gene expression at the single-cell level to determine whether transcriptional programs elicited by each intervention were expressed broadly across RGCs or only in specific RGC types. To this end, we reclustered RGCs at 7 dpc using Monocle3 (Qiu et al., 2017). The 40 resulting clusters (Figures 6A and S6A) grouped into 6 modules, MO-M1–6. Each included cells from all four conditions (Figure 6B) but occupied distinct (albeit overlapping) regions in UMAP space (Figures 6D–6I). MO-M1–3 were closely related to each other (see dendrogram at left of Figure 6A) as were MO-M5 and 6.

MO-M1–3 were enriched in pathways related to synaptic transmission (MO-M1), axonogenesis, and axon development (MO-M2, Figures 6J–6L and S6D–S6F). Key genes included *Unc5d*, *Robo2*, and *Nrgn*. MO-M3 was expressed by a subset of cells in MO-M1 and 2. These modules showed closest relation to PB-M2 from the pseudo-bulk analysis (Figure 6C). MO-M4 was strongly enriched for genes associated with intrinsic apoptotic and stress pathways (Figures 6M and S6G; Table S6) and was related to PB-M1 in the pseudo-bulk analysis (Figure 6C). MO-M5 and 6 partially overlapped in UMAP space (Figures 6H and 6I) and were related to PB-M4 in the pseudo-bulk analysis (Figure 6C). Interestingly, two sets of genes present in PB-M4 were segregated into distinct modules by Monocle: genes associated with hormone and neuropeptide signaling (e.g., galanin [*GaI*] and corticotropin-releasing-hormone [*CrhI*]) and axon regeneration (e.g., *Sprr1a* or *Nefh*) in MO-M5 (Figures 6N, S5B, and S6H; Table S6) and genes associated with immune response and cytokine signaling (e.g., *Ifit1* and *Ifit2*) in MO-M6 (Figures 6O and S6I; Table S6). Combined immunohistochemistry and *in situ* hybridization confirmed that galanin (MO-M5) and CART (MO-M2) labeled distinct RGC populations (Figures S6B and S6C). Thus, all modules were represented in each condition but at different proportions.

### Injured RGCs lacking clear type identity

Although 86% of RGCs could be assigned to specific types or subclasses, regardless of intervention or time after injury (Figure 1D), the remainder, comprising 7 clusters (A–G in Figure 1D), could not. To characterize these groups, we compared each of them with all other clusters. DE genes in clusters A–E were closely related to those derived from Monocle analysis: A and E resembled MO-M5 and 6, enriched in genes characteristic of regenerating cells; B resembled MO-M4, characteristic of degenerating cells; and C and D resembled MO-M1, rich in characteristics of synapse organization and transmission (Figures S7A–S7F). Expression patterns in the other two clusters (F and G), comprising ~17% of this cohort, were more difficult to interpret. Thus, although the Seurat-based clustering was mostly driven by type identity, the unmapped clusters were largely composed of cells in which “state” rather than type-driven expression dominated. Importantly, these “states” closely match those observed in the Monocle analysis.

### Gene regulatory networks

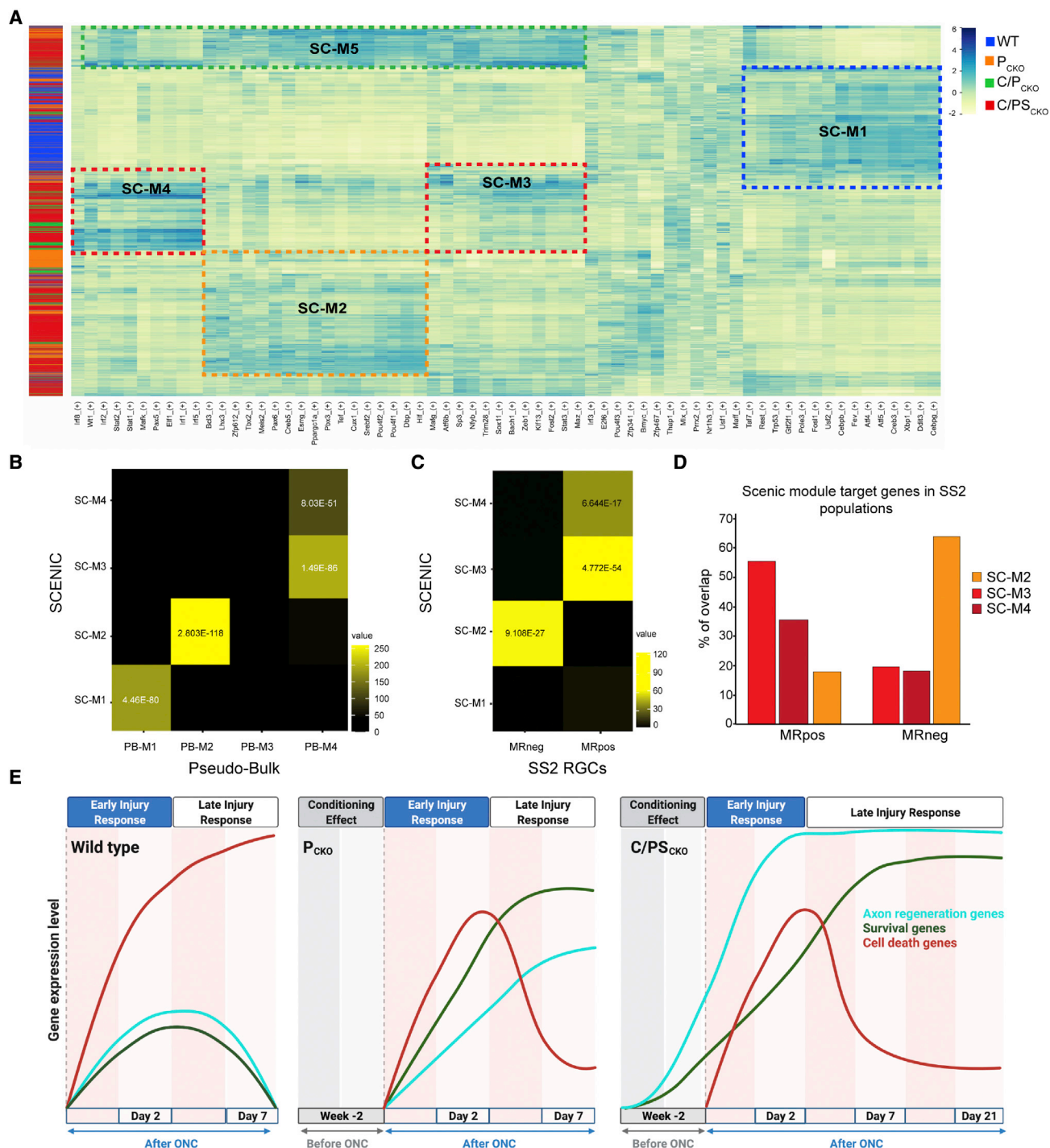
To seek transcription regulators of degeneration, survival, and regeneration, we used SCENIC (van de Sande et al., 2020) to identify cell-specific GRNs, i.e., groups of TFs and their predicted target genes, together called regulons (see STAR Methods). We plotted a heatmap of the expression from the top 20 regulons at 7 dpc at single-cell resolution, revealing sets of cells grouped by regulon activity (Figure 7A), five of which (SC-M1–5) we highlight below.

SC-M1 was enriched for degeneration and cell death-associated TFs including *Atf4*, *Ddit3*, and *Cebpg*, which emerged as key promoters of degeneration in the accompanying study by Tian et al., (2022). It was related to the death-associated modules derived from PB-M1 and Monocle (MO-M4) analyses, and a majority of its cells were from WT retinas (Figures 7A and 7B). SC-M2 was enriched for TFs associated with RGC differentiation and neuron development including *Pax6*, *Meis2*, and *Pou4f1* and 2. It was related to the survival-associated modules PB-M2 and MO-M1–3 (Figure 7B). SC-M3 and 4 were related to the regeneration-associated modules PB-M4, MO-M5, and MO-M6. Regeneration-associated genes were differentially distributed between these modules: SC-M3 was enriched for canonical RAG TFs (e.g., *Sox11*, *Maz*, and *Stat3*), whereas SC-M4 included TFs associated with innate immunity and IFN signaling (e.g., *Irf1*, 2, and 8) that were regulated in the same RGCs as MO-M6. Target genes regulated by these TFs include additional TFs implicated in immune responses (e.g., *Stat1*), axon growth (e.g., *Creb1*), and axon regeneration (e.g., *Klf6*) (Kole et al., 2020; Ma et al., 2014; Romaniello et al., 2012; Sun et al., 2015; Wang et al., 2018) emphasizing the complex interactions among

### Figure 6. Gene modules revealed by single-cell analysis using Monocle

(A) Expression of 6 co-expression gene modules across the 40 Monocle clusters at 7 dpc. Their relationships are indicated by the dendrogram to the left. Module expression is averaged across rows.  
(B) Proportions of RGCs belonging to sets of Monocle clusters predominantly enriched for the indicated co-expression module in each intervention.  
(C) Heatmap of statistical enrichment using the hypergeometric test indicating correspondence between modules obtained from pseudo-bulk analysis (Figure 5A) and Monocle analyses modules. Statistically significant p values are shown.  
(D–I) UMAPs show enrichment co-expression modules across RGC populations. (D), MO-M1; (E), MO-M2; (F), MO-M3; (G), MO-M4; (H), MO-M5; (I), MO-M6.  
(J–O) Top 10 GO-pathways for each Monocle module. (J), MO-M1; (K), MO-M2; (L), MO-M3; (M), MO-M4; (N), MO-M5; (O), MO-M6. All genes contributing to the modules were considered. ( $\log_{FC} > 0.6$ ,  $FDR < 0.001$ ).





**Figure 7. Gene regulatory modules revealed by SCENIC**

(A) Heatmap of top regulon expression level (transcription factors [TFs] and their putative downstream targets) in each cell at 7 dpc established by SCENIC analysis. Each row is a single RGC, with color bar at left indicating intervention type. Each column is a single regulon, with the TF listed at bottom. Dotted lines indicate 4 modules discussed in the text.

(B and C) Heatmaps of statistical enrichment using the hypergeometric test indicating the possibility of overlap between SCENIC module regulons (TFs and potential regulated target genes; y axis) and PB-M1-4 from pseudo-bulk analysis (B) or genes selectively expressed in regenerating (MR<sup>+</sup>) or surviving (MR<sup>-</sup>) RGCs from micro-Ruby analysis (C). Statistically significant p values are shown.

(D) Proportions of SCENIC module target genes shared with genes enriched in regenerating (MR<sup>+</sup>) or surviving (MR<sup>-</sup>) RGCs obtained from micro-Ruby dataset.

(legend continued on next page)

TFs in these modules (Table S7). Finally, cells in SC-M5 expressed a combination of genes from SC-M2–4. We speculate that these cells may represent RGCs with modest regenerative ability—for example, RGCs with short regenerating axons (<1 mm), which would not have been labeled by the retrograde labeling technique we used. Consistent with these assignments, target genes in SC-M2 were similar to those selectively expressed in surviving but not regenerating cells in the SS2 dataset (MR<sup>−</sup>), whereas target genes in SC-M3 and 4 included many that were selectively expressed in the regenerating (MR<sup>+</sup>) group (Figures 7C and 7D).

Although each module contained cells from each intervention, we observed intervention-specific enrichment (Figure S7G), which we quantified by calculating a regulon specificity score (see STAR Methods) for all regulons in each intervention. Consistent with other analyses presented above, the highest scores in WT RGCs were associated with degeneration (with *Ddit3* as the top gene), whereas C/PS<sub>CKO</sub> RGCs were enriched for regulons associated with regeneration (with *Wt1* and *Stat3* being the top 2 genes).

We also used SCENIC to measure GRNs at 0 and 2 dpc. At 0 dpc, the most distinctive group was related to SC-M3 and 4, consisting of RAG and IFN-beta signaling TF's including *Stat1,2,3,5b* and *Irfl,5,7*. It was highly enriched for RGCs from the C/PS<sub>CKO</sub> condition (Figure S7H), consistent with the finding that these manipulations induce a pro-regenerative “conditioning-like” effect prior to injury (Figure 3). At 2 dpc, modules resembling SC-M1 (enriched for cell death related TF's) and SC-M2 (neurodevelopment related TF's) appeared (Figure S7I). Last, a group of cells did not clearly associate with a 7 dpc module, instead they were enriched for TFs from multiple different states (SC-M6 in Figure S7I), which suggests that these RGCs cells could be in a transitional state.

Taken together, our transcriptional analyses identified expression modules associated with cell states underlying degeneration, survival/initiation of regeneration, and long-distance axon regeneration. Expression modules identified by independent analyses yielded largely consistent groupings (degeneration: PB-M2, MO-M4, SC-M1, Seurat B; survival: PB-M2, Monocle MO-M1/2/3, SC-M2, Seurat C, D, MR<sup>−</sup>; regeneration: PB-M4, Monocle MO-M5/6, SCENIC SC-M3/4, Seurat A, E, MR<sup>+</sup>). Figure 7E provides a schematic that integrates these analyses.

### Regeneration-associated genes promote axon regeneration

Manipulation of *Pten*, *Socs3*, and *CNTF* enhance RGC survival and regeneration but have drawbacks as therapeutic targets: PTEN and SOCS3 are tumor suppressors and recombinant CNTF shows minimal effect on its own. A main motivation of our work was the idea that genes downstream of these interventions might provide starting points for new therapeutic developments.

To test this idea, we chose 3 genes selectively expressed by RGCs in a regenerative state. Two, *Gal* and *Crh*, are members of the hormone/neuropeptide signaling group that emerged

from PB and Monocle analyses. *Gal* positively affects neuronal survival and regeneration upon PNS injury (Holmes et al., 2000). CRH is a member of the corticotropin-releasing factor family, which includes another known enhancer of RGC survival and regeneration, urocortin (Ucn) (Tran et al., 2019). The third candidate, *Wt1*, a TF that can act as both tumor suppressor and oncogene (Huff, 2011; Rauscher, 1993; Yang et al., 2007) directs a regulon that is specifically enriched in C/PS<sub>CKO</sub> RGCs; it has not, to our knowledge, been studied in the context of axon regeneration. All three of these genes are enriched in regenerating (MR<sup>+</sup>) RGCs (Figure 8B). *In situ* hybridization confirmed expression of *Crh*, *Gal*, and *Wt1* (all MO-M5) in regenerating RGCs, whereas *Cartpt* (MO-M2), a marker of cells that survived but did not regenerate, lacked co-labeling with regenerating RGCs (Figure S8I).

We also tested four additional TFs—ATF3, ATF4, DDIT3, and CEBPG—based on two criteria: (1) Tian et al., (2022) showed that they inhibited neuronal survival—that is, deleting their genes enhanced survival—and (2) ATF4, DDIT3, and CEBPG direct regulons specifically enriched in the degeneration module, which primarily comprised WT RGCs. Unlike the other three, *Atf3* showed expression in both degenerative and regenerative RGCs (MO-M5 and -M6, Figure S8B), suggesting a dual effect.

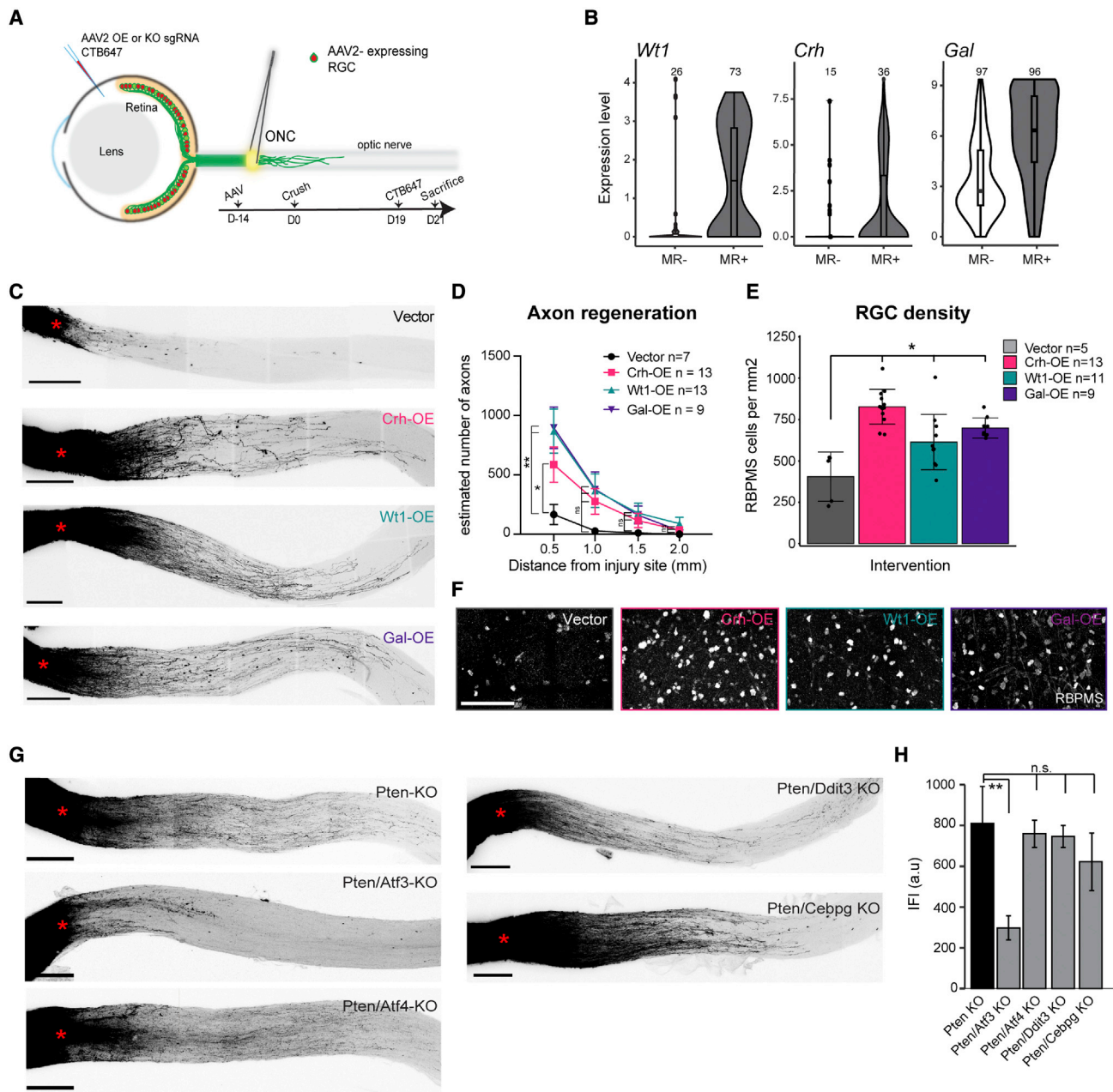
We used AAV2 vectors to OE *Gal*, *Crh*, and *Wt1* or to mutate (KO, knockout) *Atf3*, *Atf4*, *Ddit3*, and *Cebpg* by the introduction of guide RNAs (gRNAs) in Cas9-expressing RGCs. We injected AAV2 intravitreally 2 weeks prior to ONC and quantified RGC axon regeneration via anterograde tracing injected 2 days prior to collection (Figure 8A). Efficiency of OE or KO was demonstrated previously (Tran et al., 2019) and repeated here for selected genes using FISH (Figure S8A). The three OE interventions each showed a positive effect on the number of regenerating axons out to 1.5mm from the crush site (Figures 8C and 8D) and also enhanced RGC survival (Figures 8E and 8F). Interestingly, among predicted targets of *Wt1*, nearly 20% (9/52) were membrane-associated genes implicated in axon outgrowth, such as *Chl1* and *Cntn2* (Katic et al., 2014; Suter et al., 2020; Table S7), underlining its potential role as a regulator for axon regeneration. In contrast, although ATF3, ATF4, DDIT3, and CEBPG all enhance survival following ONC (Tian et al., 2022), they had no effect on regeneration driven by *Pten* deletion (Figures S8C and S8D), emphasizing the distinct control of these two processes.

Finally, we manipulated six targets in combination with P<sub>CKO</sub> to determine whether their pro-regenerative effects are synergistic to *Pten* deletion. However, neither OE of *Gal*, *Wt1*, or *Crh* nor deletion of *Atf4*, *Ddit3*, or *Cebpg* led to regeneration beyond the level observed with *Pten* deletion alone (Figures 8G, 8H, and S8E–S8H). In contrast, *Atf3* KO dramatically attenuated P<sub>CKO</sub>-induced axon regeneration (Figure 8H).

### DISCUSSION

Following ONC in mouse, most RGCs die and hardly any of the survivors extend their axons beyond the injury site. This model

(E) Schematic showing expression of death, survival, and regeneration module genes following ONC in wild-type mice and after interventions. Data are taken from Figures 3, 7, and S7 and Tran et al. (2019). However, the schematic is meant to show trends and is not quantitatively accurate. Increases prior to nerve crush reflect the “conditioning” effect of delivering interventions 2 weeks prior to injury, as described in the text. Created with BioRender.com.



**Figure 8. Genes affecting RGC axon regeneration**

(A) Experimental outline for *in vivo* tests of candidate regeneration-promoting genes. An AAV2 carrying a cDNA (for overexpression [OE]) or sgRNA (for knockout [KO]) was injected intravitreally 14 days before the crush. At 19 dpc for OE or 12 dpc for KO, regenerating axons were anterogradely labeled by CTB647 injection.

(B) Violin plots showing expression of OE candidates in regenerating (MR<sup>+</sup>) and surviving (MR<sup>-</sup>) RGCs.

(C) Maximum projections of cleared optic nerves showing anterograde-labeled RGC axons at 21 dpc following indicated treatment. Scale bars, 250  $\mu$ m; red asterisks indicate crush site.

(D) Quantification of axon regeneration based on images such as those in (C). Data are shown as mean  $\pm$  SEM. p value by Kruskal-Wallis followed by Dunn's post hoc at each distance.  $p \leq 0.05^*$ ,  $p \leq 0.01^{**}$ .

(E) RGC density (RBPMs+ cells/mm<sup>2</sup>; mean  $\pm$  SD) based on images such as those in (F). \*adjusted p value < 0.05 (FDR).

(F) Immunohistochemistry in retinal whole mounts stained for RBPMs at 21 dpc following OE-Gal, OE-Wt1, and OE-Crh. Scale bars, 100  $\mu$ m.

(G) Maximum projections of cleared P<sub>CKO</sub> optic nerves following indicated treatment. As in (C) but 14 dpc.

(H) Quantification of axon regeneration based on images such as those in (G). Data are shown as mean  $\pm$  SEM with n = 4–6 each. \*\*p < 0.01.



has been used to seek interventions that can enhance survival and promote regeneration, but none to date has been shown to restore useful vision (He and Jin, 2016; Williams et al., 2020). The goal of this study was to investigate ways in which three of these interventions, described below, act and to identify the core molecular programs associated with axon regeneration, with the aim of finding novel therapeutic approaches. To this end, we pretreated retinas in three ways prior to ONC, then used high-throughput scRNA-seq to assess their effects. Our results fall into three groups. First, we analyzed the cell type specificity of these interventions. All RGCs are similar in many respects but can be divided into ~46 types based on morphological, physiological, and molecular differences. We and others have shown that the extent of survival without intervention varies dramatically among these 46 RGC types (Bray et al., 2019; Duan et al., 2015; Tran et al., 2019). Here, we asked whether the interventions selectively affect some of them or whether their benefits are equally distributed across types. Second, we analyzed gene expression programs activated or repressed by the interventions. Finally, we tested genes identified through expression analysis by OE or deletion *in vivo* and found that some indeed promoted regeneration.

### Role of *Pten*, *Socs3*, and CNTF in neuroprotection and axon regeneration

The interventions we used were conditional deletion of the gene encoding the cytoplasmic phosphatase PTEN, conditional deletion of the gene encoding the negative regulator cytokine signaling SOCS3, and OE of the neurotrophic factor CNTF. All interventions used AAV2 vectors injected intravitreally to manipulate target gene expression in a relatively RGC-selective manner.

PTEN antagonizes PI3-kinase by dephosphorylating lipid substrates of PI3-kinase. A major consequence of *Pten* deletion is the activation of a protein kinase, AKT, which in turn activates mTOR (Jaworski and Sheng, 2006; Nieuwenhuis and Eva, 2022). Earlier studies showing that *Pten* deletion enhanced RGC survival and regeneration provided evidence that mTOR activation is required for the effect (Park et al., 2008; Sun et al., 2011), but they did not address whether it is sufficient. PTEN and AKT also modulate other pathways (Hill and Wu, 2009; Manning and Cantley, 2007; Morgan-Warren et al., 2013) and may act in the nucleus as well as cytoplasmically (Planchon et al., 2008). The role of PTEN in axon regeneration likely involves some of these additional pathways, as interventions that more selectively activate mTOR are less effective in eliciting regeneration than *Pten* deletion (Duan et al., 2015; Park et al., 2008).

The second intervention was to deliver CNTF in parallel with *Pten* deletion. Addition of CNTF significantly increased RGC axon regeneration (Figures 2A and 2B). CNTF is a cytokine that acts in part through JAK/STAT signaling (Peterson et al., 2000) and has been shown to be a potent neurotrophic factor in multiple contexts (Fudalej et al., 2021; Richardson, 1994). On its own, CNTF gene therapy primarily acts cell non-autonomously in retinal glial and immune cells (Müller et al., 2007; Xie et al., 2021). In combination with SOCS3 deletion, however, it most likely has both direct and indirect effects on RGC survival and axon regeneration (Smith et al., 2009; Xie et al., 2021). We induced CNTF-expression selectively in neurons of the ganglion cell layer (RGCs and ama-

crine cells) using the AAV2/2 serotype, and the CNTF receptor (*Cntfr*) is expressed by RGCs, but we cannot exclude the possibility that the effects we saw involve additional cell types.

The third intervention was deletion of *Socs3* in combination with *Pten* deletion and CNTF OE. The combined treatment further enhanced RGC axon regeneration and survival, consistent with previous studies (Sun et al., 2011). SOCS3 acts in part as an inhibitor of the JAK/STAT signaling pathway by blocking JAK2 activity (Babon et al., 2012; Kershaw et al., 2013), which in turn can decrease the responsiveness of neurons to injury-induced cytokine signaling including the activity of CNTF (Crocker et al., 2008). Additionally, SOCS3 has been shown to negatively regulate IFN, and other cytokines (Yu et al., 2018). Sun et al. (2011) provided evidence that this pathway is required for the regeneration-promoting effect of SOCS3 in that its effect is lost when *Stat3* is also mutated. However, as with PTEN, other pathways likely contribute to SOCS3-dependent axon regeneration.

### Overcoming type-selective survival and regeneration

RGC types vary dramatically in resilience, with the most resilient and vulnerable types showing ~99% and ~1% survival at 14 dpc, respectively (Duan et al., 2015; Tran et al., 2019). These molecular differences allowed us to identify targets for neuroprotection (Tran et al., 2019). Here, we asked whether neuroprotective and pro-regenerative interventions also selectively affected specific types, which could confer a similar opportunity for target discovery.

In fact, the interventions enhanced survival of most RGC types to similar extents. A few types were rescued with modest selectivity; they included J-RGCs and two types of ooDSGCs. All of these types are inherently vulnerable to ONC (Tran et al., 2019). Conversely, RGCs of the T5 subclass were somewhat poorly rescued. Nonetheless, our overall conclusion is that these interventions showed little selectivity; most RGC types benefited to a similar extent.

In contrast, the effects on regeneration varied among interventions. *Pten* deletion led to selective regeneration of alphaRGCs, consistent with our previous results (Duan et al., 2015), whereas also overexpressing CNTF decreased the selectivity and the additional deletion of *Socs3* almost completely overcame these type-specific barriers. These results suggest that broad and extended long-distance regeneration of CNS neurons might only be possible when manipulating multiple genes/factors simultaneously.

### Distinct programs drive death, survival, and regeneration

scRNA-seq revealed transcriptional programs associated with distinct cell states across conditions. In each analysis, three main gene expression modules were evident: one selectively expressed by RGCs that were degenerating or vulnerable, a second by RGCs that survived but failed to extend axons >1 mm, and a third by RGCs that not only survived but also extended axons at least 1 mm past the crush site. Figure 7E schematizes the changes in these programs over time, in WT mice and following interventions. In WTs, genes in all three modules are upregulated after ONC, but although the death module continues to increase, initial increases in the survival and



regeneration modules are not maintained (left panel). In striking contrast, increases in the death module are transient in C/PS<sub>CKO</sub> retina, but increases in survival and regeneration modules are dramatic and sustained (right panel). Patterns in P<sub>CKO</sub> (middle panel) and C/P<sub>CKO</sub> (not shown) are intermediate. Together, these patterns give a qualitative sense of how the interventions promote neuronal survival and axon regeneration.

Having mapped these modules, we identified gene expression programs associated with each group.

#### **Vulnerable and dying RGCs**

RGCs in this group expressed genes commonly associated with intrinsic apoptotic signaling and stress response pathways. They included *Ddit3* (CHOP) and *Cepbg*, which are key negative regulators of RGC survival (Tian et al., 2022; Hu et al., 2012; Syc-Mazurek et al., 2017). Most of these genes are globally upregulated by RGCs, including those that are relatively resilient (see also Tran et al., 2019).

#### **Surviving RGCs**

RGCs in this group suppress the degenerative program and up-regulate genes implicated in neuronal development, axonogenesis, synaptic organization, and synaptic function. Reactivation of developmental genes has been noted in regenerative CNS neurons (Hilton and Bradke, 2017; Poplawski et al., 2020) and synaptic/neuronal activity promotes axon regeneration and functional connectivity following injury as well as suppression of apoptotic signaling pathways (Enes et al., 2010; Hilton et al., 2022; Léveillé et al., 2010; Li et al., 2016; Lim et al., 2016; Tedeschi et al., 2016; Williams et al., 2015; Zhang et al., 2019). We speculate that some of those cells may be in a resting state, initiating a regenerative program that failed or in an early regenerative state which at the point of collection only enabled short distance regeneration.

#### **Regenerating RGCs**

These RGCs suppressed injury response and activated axonogenesis-related genes but additionally activated other pathways, which included previously identified RAGs (Abe and Cavalli, 2008; Chandran et al., 2016)—genes related to the immune response, which have been shown to induce RGC axon regeneration (Benowitz and Popovich, 2011; Bollaerts et al., 2017; Schwartz and Raposo, 2014; Sun et al., 2011), and genes involved in hormone and neuropeptide function. Previous studies have implicated an essential role of hormones in axon growth (Baudet et al., 2009) and neuronal survival (Sanders et al., 2005) during development, and several are known to be upregulated following axotomy of, and/or to enhance, axon outgrowth from peripheral neurons (Holmes et al., 2000; Palkovits, 1995; Tran et al., 2019; Yuan et al., 2010). The appearance of a coordinated neuropeptide-related response in injured CNS neurons, which generally fail to regenerate, along with the gene therapy results (Figure 8, discussed below) is noteworthy from a translational perspective in that peptides have been used as safe and effective therapeutics in multiple indications.

#### **Stepwise establishment of responses to injury**

By collecting and analyzing cells at several time points, we followed the emergence of gene expression programs regulated by PTEN, SOCS3, and CNTF. Identifying the dynamics of these

programs helps narrow the window of opportunity for therapeutic strategies.

#### **Prior to injury**

We initiated downregulation of PTEN and SOCS3 and OE of CNTF 2 weeks before ONC. Although our aim was to ensure that gene expression changes had occurred by the time of injury, this protocol provided an opportunity to distinguish injury-dependent from injury-independent effects. Prior to injury, the triple intervention (C/PS<sub>CKO</sub>) led to upregulation of pro-regeneration RAGs previously identified in both peripheral nervous system and CNS (Renthal et al., 2020; Yang et al., 2020). These changes mimic the “pre-conditioning” effect seen in DRG injury models (Cai et al., 1999; Hannila and Filbin, 2008; Neumann and Woolf, 1999; Richardson and Issa, 1984). Downregulated genes, which were fewer in number, included known inhibitors of axon regeneration (*Crhbp*) and microtubuli stabilization (*Stmn1*) (Rubin and Atweh, 2004; Tran et al., 2019). Our data suggest that these changes act in part prior to injury, a measure of little therapeutic value. Despite that caveat, *Pten* and *Socs3* deletion or CNTF OE post-injury do elicit potent axon regeneration in some injury models (Danilov and Steward, 2015; Du et al., 2015; Hellström et al., 2011; Sun et al., 2011).

#### **Shortly after the injury**

At 2 dpc, the interventions showed little effect on the injury responses of RGCs (Figure 3H), although SCENIC analysis identified a modest enhancement of regeneration-associated expression patterns compared with those at 0 dpc. Thus, although PTEN, SOCS3, and CNTF levels have been affected prior to injury, activation of the degeneration program observed in the absence of interventions is not substantially attenuated by the interventions nor are survival programs activated early after injury.

#### **1 week after the injury**

Between 2 and 7 dpc, all interventions exerted dramatic effects. *Pten* deletion mitigated the general injury response, by attempting to re-establish the gene expression milieu of uninjured RGCs. RGCs additionally became more distinct in their gene expression profile, with survival and regenerative programs robustly activated. This separation was still apparent at 21 dpc, showing that the regenerative state achieved in the first week after the injury is maintained over time.

In short, we demonstrate multiple temporal phases in the effects of intervention. First, there is a modest upregulation of a regenerative state prior to and independent of injury. Second, interventions have little effect during the first 2 days after ONC. Third, further degenerative changes are prevented, and survival and regeneration programs are activated between 2 and 7 dpc. Finally, expression changes are modest between 7 and 21 dpc.

#### **Promoting axon regeneration**

To test if genes correlating with regeneration contribute to this process, we used gain-of-function experiments in which we overexpressed genes present in the regeneration modules. Overexpression of three such genes—*Crh*, *Gal*, and *Wt1*—resulted in significant axon regeneration. In experiments reported here, we infected RGCs with AAVs prior to injury to ensure robust expression by the time of ONC; further studies will be required to determine whether these manipulations are effective if administered after injury.

## Neuropeptides

A key pathway in the “regeneration modules” was annotated as involving hormone and neuropeptide secretion and signaling. We chose two candidates from this group to test—Crh and galanin. Both promoted axon regeneration, as did another peptide, Ucn, that we previously identified as selectively expressed by resilient cells (Tran et al., 2019). Further studies will be needed to identify the cellular pathways they affect and to determine whether signaling is cell autonomous or non-autonomous. Signaling could be direct to RGCs as the Crh receptor (*Crhr1*) is expressed in a subset of them. In contrast, we did not detect the expression of the receptors for galanin (*GalR1*, *GalR2*, and *GalR3*) in RGCs, suggesting GAL may act cell non-autonomously or through yet unidentified receptors. Other neuropeptides (e.g., PACAP; Baskozos et al., 2020) and monoamines (e.g., serotonin; Kingston et al., 2021) have also been shown to alter axon regeneration capability.

## Wt1

Wt1 has been shown to exert anti-apoptotic functions in several cell types (Huff, 2011; Loeb, 2006; Yang et al., 2007) including developing RGCs (Wagner et al., 2002). Moreover, Wt1 regulates expression of POU4F2 (Brn-3b), a key regulator of RGC maturation (Wagner et al., 2002) and binds to promoters of multiple genes implicated in axonal regeneration (Gao et al., 2019; Hartl and Schneider, 2019). More recently, studies revealed that BASP1, a growth cone associated protein, is a binding partner of Wt1 (Hartl and Schneider, 2019). In our SCENIC analysis, we noted that genes potentially regulated by and co-expressed with Wt1 include a high proportion of membrane-associated genes implicated in axon outgrowth. Like PTEN and SOCS3, Wt1 itself is an oncogene and tumor suppressor and therefore problematic as a therapeutic candidate. Notwithstanding, the genes it regulates may provide insights into the control of neuronal survival and axon regeneration as well as a source of novel candidates.

## Atf3, Atf4, Ddit3, and Cebpg

This set of 4 TFs play key roles in coupling effects of axonal injury to neuronal death; in their absence, neurodegeneration is attenuated (Tian et al., 2022). We observed no effect of deleting any of them on axonal regeneration, supporting the hypothesis that programs regulating survival and regeneration are distinct. Likewise, deletion of *Atf4*, *Ddit3*, or *Cebpg* did not significantly affect the ability of *Pten* deletion to promote axonal regeneration. On the other hand, *Pten* deletion was no longer able to promote regeneration when *Atf3* was also deleted. This result supports the idea that ATF3 promotes axonal regeneration of injured peripheral sensory neurons (Renthal et al., 2020) but is seemingly inconsistent with its role in promoting RGC death (Tian et al., 2022). However, its appearance in both degenerative and regenerative modules (MO-M5 and -M6) suggests that unlike the other TFs we assessed, it plays a dual role at successive stages of the injury response.

## STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

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## SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.neuron.2022.06.002>.

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## AUTHOR CONTRIBUTIONS

A.J., N.M.T., W.Y., F.T., Z.H., and J.R.S. conceived and designed experiments and analyzed data. A.J., N.M.T., I.B., F.T., and R.S. performed experiments. Z.H. and J.R.S. provided supervision and acquired funding. A.J., N.M.T., W.Y., Z.H., and J.R.S. wrote the paper with input from all authors.

## DECLARATION OF INTERESTS

J.R.S. is a consultant for Biogen. Z.H. is an advisor of SpineX, Life Biosciences, and Myro Therapeutics.

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## STAR★METHODS

## KEY RESOURCES TABLE

| REAGENT or RESOURCE  | SOURCE  | IDENTIFIER                              |
|--|---|---|
| <b>Antibodies</b>  |   |   |
| Chicken polyclonal anti-GFP  | Abcam   | Cat#ab13970;RRID:AB_300798              |
| Guinea pig polyclonal anti-Rbpms   | PhosphoSolutions  | Cat#1832-RBPMS;RRID:AB_2492226          |
| Mouse monoclonal anti-SMI 32   | Covance   | Cat#SMI-32P;RRID:AB_2314912             |
| Rabbit monoclonal anti -Wt1  | ThermoFisher  | Cat#MA5-32215; RRID: AB_2809502         |
| Rabbit polyclonal anti-Tubb3   | BioLegend   | Cat#802001; RRID: AB_2564645            |
| Rabbit polyclonal anti-Cart  | Phoenix Pharmaceuticals   | Cat#H-003-62; RRID:H-003-62             |
| Goat polyclonal anti- Tag-1 (Cntn2)  | R&D Systems   | Cat#AF4439; RRID: AB_2044647            |
| Mouse monoclonal anti-Reelin   | Abcam   | Cat#ab78540; RRID:AB_1603148            |
| <b>Chemicals, peptides, and recombinant proteins</b>                             |   |   |
| Alexa-conjugated cholera toxin subunit B (CTB647)                                | Thermo Fisher   | Cat#C34778                              |
| AMES' Medium   | Sigma   | Cat#A1420                               |
| Papain   | Worthington   | Cat#LS003126                            |
| Ovomucoid  | Worthington   | Cat#130042202                           |
| Fluoromount-G  | Southern Biotech  | Cat#0100-20                             |
| Visikol® HISTO-1™ and Visikol® HISTO-2™ Combo                                    | Visikol   | Cat#HH10                                |
| Anti-Fluorescein-POD, Fab fragments  | Roche   | Cat#11426346910                         |
| Anti-Digoxigenin-POD, Fab fragments  | Roche   | Cat#11207733910                         |
| Anti-DNP-HRP   | Perkin Elmer  | Cat#FP1129                              |
| TSA Cyanine 3 Plus Evaluation Kit  | Perkin Elmer  | Cat#NEL744E001KT (FP1170)               |
| TSA Cyanine 5 Plus Evaluation Kit  | Perkin Elmer  | Cat#NEL745E001KT (FP1171)               |
| TSA Fluorescein Plus Evaluation Kit  | Perkin Elmer  | Cat#NEL741E001KT (FP1168)               |
| Dextran,Tetramethylrhodamine and Biotin, 3000MW, Lysine Fixable (micro-Ruby; MR) | Thermo Fisher   | Cat#D7162                               |
| Dextran, Texas Red, 3000MW, Lysine Fixable                                       | Thermo Fisher   | Cat#D3328                               |
| <b>Critical commercial assays</b>  |   |   |
| Chromium Single Cell 3'Library & Gel Bead Kit v3, 16rxns                         | 10X Genomics  | Cat#1000075                             |
| Chromium Single Cell A Chip Kit, 16rxns  | 10X Genomics  | Cat#1000009                             |
| Chromium i7 Multiplex Kit 96 rxns  | 10X Genomics  | Cat#120262                              |
| In situ hybridisation (FISH) (for Atf4,Ddit3, Jam2)                              | Molecular Instruments   | NA                                      |
| Visikol® HISTO-1™ and Visikol® HISTO-2™ Combo                                    | Visikol   | Cat#HH10                                |
| <b>Deposited data</b>  |   |   |
| Gene Expression Omnibus  | This manuscript   | GEO: GSE202155                          |
| <b>Experimental models: Organisms/strains</b>                                    |   |   |
| Mouse: C57Bl/6   | Charles River or Jackson Labs   | Strain code #027 (CR) or JAX000664      |
| Mouse: B6J.129S6(FVB)-Slc17a6 <sup>tm2(cre)</sup><br><i>Low/MwarJ</i>            | Jackson Labs  | Cat#JAX0288663;<br>RRID:IMSR_JAX:028863 |
| Mouse: B6;CBA-Tg(Thy1-YFP)GJrs/GfngJ   | Joshua Sanes ( <a href="#">Feng et al., 2000</a> ; <a href="#">Sun et al., 2011</a> ) | Cat#JAX014130; RRID:IMSR_JAX:014130     |

(Continued on next page)

### Continued

| REAGENT or RESOURCE  | SOURCE                                    | IDENTIFIER  |
|--|---|---|
| Mouse: B6;129-Gt(ROSA)26Sor <sup>tm1(CAG-cas9<sup>+</sup>-EGFP)F<sub>ezh</sub>/J</sup> | Jackson Labs                              | Cat#JAX024857; RRID:IMSR_JAX:024857   |
| Mouse: B6.129S4-Pten <sup>tm1Hwu</sup> /J  | Jackson Labs                              | Cat#JAX006440; RRID:IMSR_JAX:006440   |
| Mouse: B6;129S4-Socs3 <sup>tm1Ayo</sup> /J   | Jackson Labs                              | Cat#JAX010944; RRID:IMSR_JAX:010944   |
| <b>Oligonucleotides</b>  |   |   |
| Primer used to generate ISH probes   | IDT                                       | <a href="#">Table S8</a>  |
| Primer for overexpression cloning  | IDT                                       | <a href="#">Table S8</a>  |
| Primer for sgRNA cloning   | IDT                                       | <a href="#">Table S8</a>  |
| <b>Recombinant DNA</b>   |   |   |
| pAAV2-hSyn-hChr2(H134R)-EYFP   | Gift from Karl Deisseroth                 | Addgene plasmid Cat#26793; RRID:Addgene_26973   |
| AAV-U6-sgRNA-hSyn-mCherry  | Gift from Alex Hewitt                     | Addgene plasmid Cat#87916; RRID:Addgene_87916   |
| pAAV2-CAG-Cre-WPRE-hGH   | BCH Viral Core                            | <a href="#">Belin et al., 2015</a>  |
| pAAV2-hSyn-Gal-WPRE  | BCH Viral Core                            | N/A   |
| pAAV2-hSyn-Crh-WPRE  | BCH Viral Core                            | N/A   |
| pAAV2-hSyn-Wt1-WPRE  | BCH Viral Core                            | N/A   |
| pAAV2-hSyn-CNTF-WPRE   | BCH Viral Core                            | N/A   |
| <b>Software and algorithms</b>   |   |   |
| ImageJ (Fiji)  | <a href="#">(Schindelin et al., 2012)</a> | <a href="https://imagej.net/Fiji">https://imagej.net/Fiji</a>   |
| Cell Profiler  | <a href="#">(Carpenter et al., 2006)</a>  | <a href="https://cellprofiler.org">https://cellprofiler.org</a>   |
| Prism 8.0  | GraphPad Software                         | <a href="https://www.graphpad.com/">https://www.graphpad.com/</a>   |
| Cell Ranger v3.1.0   | 10X Genomics                              | <a href="https://support.10xgenomics.com/single-cell-gene-expression/software/downloads/latest">https://support.10xgenomics.com/single-cell-gene-expression/software/downloads/latest</a> |
| R for statistical computing version 4.0.2  | N/A                                       | <a href="https://cran.r-project.org/">https://cran.r-project.org/</a>   |
| Bioconductor software packages   | <a href="#">(Gentleman et al., 2004)</a>  | <a href="http://bioconductor.org/">http://bioconductor.org/</a>   |
| Python version 3.6.13  | Python Software Foundation                | <a href="https://www.python.org/">https://www.python.org/</a>   |
| Anaconda version 4.3.30  | 2017 Continuum Analytics, Inc             | <a href="https://docs.conda.io/en/latest/">https://docs.conda.io/en/latest/</a>   |
| Matlab Mathworks   | custom script                             | <a href="https://www.mathworks.com/">https://www.mathworks.com/</a>   |
| <b>Other</b>   |   |   |
| LSM 710 scanning confocal microscope   | Zeiss                                     | N/A   |
| Olympus FV-1000 confocal microscope  | Olympus                                   | N/A   |
| HiSeq 2500 System  | Illumina                                  | N/A   |
| NextSeq 500 System   | Illumina                                  | N/A   |
| Nova Seq   | Illumina                                  | N/A   |
| Chromium controller  | 10x Genomics                              | N/A   |
| MoFlow Astrios FACS sorter   | Beckman Coulter                           | N/A   |
| Biomek Span-8  | Beckman Coulter                           | N/A   |

## RESOURCE AVAILABILITY

### Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Joshua Sanes. ([sanesj@mcb.harvard.edu](mailto:sanesj@mcb.harvard.edu)).

### Materials availability

Requests for resources and reagents should be directed to and will be fulfilled by the [lead contact](#), Joshua Sanes. ([sanesj@mcb.harvard.edu](mailto:sanesj@mcb.harvard.edu)).



### Data and code availability

Submission of all the raw and processed datasets reported in this study has been deposited in the Gene Expression Omnibus (GEO). The accession number for the sequencing data reported in this paper is GEO: GSE202155. The single cell data can be visualized in the Broad Institute's Single Cell Portal at [https://singlecell.broadinstitute.org/single\\_cell/study/SCP1846](https://singlecell.broadinstitute.org/single_cell/study/SCP1846).

## EXPERIMENTAL MODEL AND SUBJECT DETAILS

### Animals

All animal experiments were approved by the Institutional Animal Care and Use Committees (IACUC) at Harvard University and Children's Hospital, Boston. Male and female mice were used interchangeably. Mice were maintained in pathogen-free facilities under standard housing conditions with continuous access to food and water. All experiments were carried out in adult mice from 4 to 12 weeks of age. The following mouse strains were used:

Pten loxP/loxP (JAX # 006440)  
Pten loxP/loxP Socs3 loxP/loxP (Sun et al., 2011)  
Thy1-stop-YFP Line #17 (Sun et al., 2011).  
Vglut2-Cre (JAX #0288663)  
Rosa26-LSL-Cas9 knockin (JAX #024857).  
C57Bl/6J (JAX #000664)

## METHOD DETAILS

### Optic nerve crush

Mice were anesthetized with ketamine/xylazine (ketamine 100–120 mg/kg and xylazine 10 mg/kg). We performed optic nerve injury as previously described (Park et al., 2008; Tran et al., 2019). Briefly, the optic nerve was exposed intraorbitally and crushed with fine forceps (Dumont #5 FST) for ~2s approximately 0.5–1 mm behind the optic disc. Eye ointment was applied post-operatively to protect the cornea.

### Intravitreal injection of AAV

Mice were anaesthetized with ketamine/xylazine (ketamine 100–120mg/kg and xylazine 10 mg/kg) and injected intravitreally with ~2μl of volume of AAV2 (in 1x PBS) carrying the gene of interest driven by a CAG promoter, or an sgRNA driven by a U6 promoter. Concentration of viruses was adjusted to ~5 x 10<sup>12</sup>. For injections, we first removed ~2μl intravitreal fluid from the eye with a sterile glass micropipette. Another glass micropipette or a 33-gauge Hamilton syringe was then inserted through the sclera about 0.5 mm posterior to the limbus and into the vitreous chamber without touching the lens. AAV solution (~2μl) was injected. After injection, antibiotic ophthalmic ointment was applied, and mice were warmed on a heating pad until fully awake.

### Anterograde tracing of regenerating axons

To assess axon regeneration, axons were anterogradely labeled by intravitreal injection of CTB conjugated with Alexa-647 (Life Technology) 48 hours before sacrifice. After 4% PFA perfusion, heads were post-fixed overnight in 4% PFA. Optic nerves were micro-dissected and meninges surrounding the nerve were removed. Nerves were then cleared using reagents and protocol provided from Visikol®. Briefly, nerves were dehydrated with 100% methanol for 4 minutes and then transferred into Visikol Histo-1 solution for overnight incubation at 4°C. The next day the nerves were incubated in Visikol Histo-2 solution for at least 2 hours before mounting them in Visikol Histo-2 solution and imaged with the LSM710 confocal microscope. We observed little axonal branching or turning distal to the crush site, consistent with a previous report that used 3D reconstructions (Luo et al., 2013). Thus, although we cannot exclude the possibility of branching in heavily labeled areas proximal to the crush site, we conclude that the number of axons is roughly equivalent to the number of RGCs that regenerated axons.

In some cases, we used an iDISCO tissue clearing method (Renier et al., 2014). In this method, optic nerves were incubated in the dark for 0.5h with 80% tetrahydrofuran (THF, Sigma-Aldrich 360589-500ML)/H<sub>2</sub>O and then transferred to 100% THF for 1h. Nerves were then incubated in Dichloromethane (DCM, Sigma-Aldrich 270997-1L) for 20min and switched to dibenzyl ether (DBE, Sigma-Aldrich 33630-250ML) until they were completely transparent (at least 3h).

Optic nerves showing incomplete crush as evidenced by continuous labeling of axons through the chiasm and/or a different morphology then regenerating axons (pearls on a string) were excluded from the analysis; they comprised a low percentage of all nerves analyzed (Tran et al., 2019).

### Retrograde labeling of regenerating RGCs

Retrograde labeling of regenerated RGCs was performed as described previously (Zhang et al., 2019), using micro-Ruby or TexasRed (ThermoFisher #D7162 or #D3328), both of which are 3kDa dextrans conjugated to biotin. Twenty days after ONC, mice were anesthetized and placed in a stereotaxic frame. The crushed optic nerve was exposed using a superior temporal intra-orbital approach by drilling a hole into the skull and removing overlying brain tissue. After exposing the optic nerve ~1.5 mm distal to the crush site, we cut the nerve with a fine blade and delivered 100–300 nL of a 5% micro-Ruby or TexasRed solution diluted in

sterile PBS to the stump. We then placed a small piece of gelfoam (Fisher Scientific) soaked in this 5% dextran solution on the cut nerve stump. The scalp was sutured, and animals recovered on a heating pad until they regained consciousness. For single cell isolation and SS2, mice were injected with micro-Ruby and perfused ~24 hours after delivery.

### Cell preparation and FACS

We used the methods detailed in [Tran et al. \(2019\)](#) for dissociation and FACS sorting of RGCs. Briefly, retinas were dissected in AMES solution (equilibrated with 95% O<sub>2</sub>/5% CO<sub>2</sub>), digested in papain, and dissociated to single cell suspensions using manual trituration in ovomucoid solution. For a concentration of 10 million cells per 100  $\mu$ l, 0.5  $\mu$ l of 2  $\mu$ g/ $\mu$ l anti-CD90 (conjugated to various fluorophores) (Thermo Fisher Scientific) was used to stain (15 minutes incubation), washed with an excess of media, spun down and resuspended again in AMES+4%BSA to a concentration of ~7 million cells per 1 ml. Just prior to FACS the live cell marker calcein blue was added. RGCs were collected based on high CD90, GFP and, in some cases, micro-Ruby co-expression. For 10X experiments, cells were collected into ~100  $\mu$ l of AMES+4%BSA per 25,000 sorted cells. Following collection cells were spun down and resuspended in PBS+0.1% non-acetylated BSA at a concentration range of 500–2000 cells/ $\mu$ l for droplet-based scRNAseq per manufacturer's instructions (10x Chromium). For SS2 experiments, single cells were collected into 96 well plates filled with 5  $\mu$ l of TCL lysis buffer, containing 1% BME, spun down and frozen at -80°C till further processing.

### RNA sequencing

#### 3' droplet-based scRNA-seq

Single cell libraries were prepared using the Single-cell gene expression v2/v3 kit on the Chromium platform (10X Genomics, Pleasanton, CA) following the manufacturer's protocol. Briefly, single cells were partitioned into Gel beads in EMulsion (GEMs) in the Chromium instrument followed by cell lysis and barcoded reverse transcription of RNA, amplification, enzymatic fragmentation, 5' adaptor attachment and sample indexing. On average, approximately 8,000–12,000 single cells were loaded on each channel and approximately 3,000–7,000 cells were recovered. Libraries were sequenced on Illumina HiSeq 2500, or NovaSeq platforms (Paired end reads: Read 1, 26 bases, Read 2, 98 bases).

#### Retrograde labeled RGCs: Smart-seq2

We generated RNA-Seq libraries using a modified Smart-seq2 method ([Picelli et al., 2014](#)) with the following minor changes: Before running RT, RNA was purified using 2.2X SPRI-beads (Beckman Coulter, A3987) followed by 3 wash steps with 80% EtOH, elution in 4  $\mu$ l of RT primer mix and denatured at 72°C for 3 min. Six microliters of the first-strand reaction mix, containing 0.1  $\mu$ l SuperScript II reverse transcriptase (200 U/ $\mu$ l, Invitrogen), 0.25  $\mu$ l RNase inhibitor (40 U/ $\mu$ l, Clontech), 2  $\mu$ l Superscript II First-Strand Buffer (5x, Invitrogen), 0.1  $\mu$ l MgCl<sub>2</sub> (100 mM, Sigma), 0.1  $\mu$ l TSO (100  $\mu$ M) and 3.45  $\mu$ l Trehalose (1M), were added to each sample. Reverse transcription reaction was carried out by incubating at 50°C for 90 min and inactivation by incubation at 85°C for 5 min. After PCR pre-amplification, PCR was purified using 0.8X of AMPure XP beads (Beckman Coulter), with the final elution in 12  $\mu$ l of EB solution (Qiagen). For fragmentation the Nextera DNA Sample Preparation kit (FC-131-1096, Illumina) was used, and final PCR was performed as follows: 72°C 3 min, 95°C 30 s, then 12 cycles of (95°C 10 s, 55°C 30 s, 72°C 1 min), 72°C 5 min. Purification was done with a 0.9X of AMPure XP beads. Libraries were diluted to a final concentration of 2nM, pooled and sequenced on Next-Seq 500 or Nova-Seq, 50bp paired end.

#### Whole mounts

Eyes were either collected from animals intracardially perfused with 15–50ml of 4% paraformaldehyde (PFA), and post-fixed for an additional 15 minutes, or dissected from nonperfused animals and immersion fixed in 4% PFA for 30 minutes. Eyes were transferred to PBS until retinas were dissected.

To immunostain whole mounts, retinas were incubated in blocking solution (5% normal serum, 0.3% Triton-X100 in PBS) for 3 hours, followed by incubation with primary antibodies (in blocking solution) for 5–7 days, and secondary antibodies (in PBS) overnight. All incubations were done at 4°C with gentle rocking.

*Jam2* expression was assessed by fluorescent *in situ* hybridization using a hybridization chain reaction method (<https://www.moleculartechnologies.org/>). Probes of 15–20nt length were generated by Molecular Instruments. Retinas were dissected in RNase-free 1xPBS and immediately washed 2 x 5min in PBST (1xPBS + 0.1% Tween20) on ice. Retinas were then dehydrated using MeOH – PBST mix series (0%, 25%, 50%, 75% and 100% of MeOH), each step for 15min on ice. Retinas were incubated in 100% methanol overnight at -20°C. After rehydration on ice the next day (inverted order of previous dehydration) and 10min incubation in PBST at RT, retinas were incubated for 30min at 37°C for pre-hybridization. Then retinas were incubated in hybridization buffer including the probe (2.5nM) overnight at 37°C. After hybridization retinas were washed 4 x 15min with wash buffer (at 37°C) followed by 2x 5min in 5x saline-sodium citrate (SSC) at room temperature (RT). The amplification step was performed with amplifiers B1 or B2 for 24hrs at RT in the dark. Finally, retinas were immunostained for RBPMs as above and mounted.

#### Retinal sections

Eyes were collected and retinas dissected as described above. Retinas were then sunk in 30% sucrose, embedded in tissue freezing media, and cryosectioned at 20  $\mu$ m. For IHC, slides were incubated for 1 hour in protein block, primary antibody incubation overnight, and secondary antibodies for 2–3 hours. Initial block and secondary antibody incubation were done at RT and primary antibody incubation at 4°C.

For FISH, probes were either obtained from Molecular Instruments (*Atf4*, *Ddit3* and *Jam2*) and used as described previously ([Li et al., 2020](#)). All other probes were generated, and FISH was performed as described in [Tran et al. \(2019\)](#).

### Design of overexpression and knockdown vectors

Vectors were cloned by Synbio Technologies (Monmouth Junction, NJ 08852) using the pAAV-hSyn-hChrR2(H134R)-EYFP plasmid (Addgene #26973) to replace the hChrR2(H134R)-EYFP with the gene target sequence for over expression experiments. Virus of serotype AAV2/2 was then generated by the Boston Children's Hospital Viral Core. For Crispr mediated KD a modified AAV-U6-sgRNA-hSyn-mCherry plasmid (Addgene #87916) was used. The AAV2-based Crispr/Cas9 approach we employ here has been established as an effective modality for somatic knockdown in adult mouse RGCs (Hung et al., 2016). To account for possible off target effects, we delivered a mix of 5 AAV2 single-guide RNA (sgRNA) expression vectors to the eyes of mice that express Cas9 specifically in RGCs (VGlut2-Cre; LSLCas9-eGFP), which lead to high infection rates as described previously and indicated in Figure S8A. Vectors and sequences used for manipulation experiments are displayed in Table S8.

### Computational methods

#### Reads alignment of 3' droplet-based scRNA-seq data

Sequenced reads were demultiplexed using cellranger (version 2.1.0, 10x Genomics) "mkfastq" function and aligned to mouse genome mm38 with modified transcriptome (Tran et al., 2019) using cellranger "count" function.

#### Clustering and cell type identification in 3' droplet-based scRNA-seq data

The generated gene count matrix was processed using the R package "Seurat" (Version 4.0.1). Both the standardized log normalization and the "sctransform" method were used for processing. Briefly, the gene expression matrix generated by log normalization and scaling with a factor of 10000 were used for differential gene expression analysis and data visualization, while the sources of variation for each intervention at each time point were removed using the "sctransform" framework and clustering was performed based on the corrected values. The 2000 top ranked common features among interventions at each time point were selected using function "SelectIntegrationFeatures". The canonical correlation analysis-based data integration method (function "IntegrateData") was applied using each and all the interventions without optic nerve crush as the reference dataset. Principal Component analysis (PCA) was performed, and the top 100 PCs were used to construct a shared nearest neighbor (SNN) graph, with  $k=100$  as the  $k$ -nearest neighbors. The Louvain algorithm with multilevel refinement algorithm was used for modularity optimization in identifying the clusters. In the first round of clustering, canonical retinal cell class markers were used to identify major cell classes in the dataset including amacrine cells and RGCs. Only RGCs were retained for further analysis, using the pipeline described above. Results were evaluated based on number of distinct marker genes in each cluster as well as their correspondence to the RGC type prediction using machine learning algorithm XGBoost (See below for details). A resolution of 3 was chosen as the clustering parameter in the function "FindClusters" based on the integrated SNN.

To identify RGC types in each cluster, two methods were used. First, a machine learning algorithm "XGBoost" was applied as described previously (Yan et al., 2020; van Zyl et al., 2020) to build the RGC type predictor based on the RGC atlas dataset (Tran et al., 2019). Confusion matrices were generated between the predicted result and clusterings at various resolutions. High consensus was observed among results, with subtle differences in a small set of clusters. In those cases, differential gene expression (DGE) analysis was performed to verify the sub-division of certain types using the "MAST" method by "Seurat" function "FindMarkers" based on the log normalized data. Clusters were kept as separate if more than 5 differentially expressed (DE) genes were identified in both groups with over 10% expression in either cluster and over 0.5 log-fold change. Otherwise, the clusters under evaluation were merged or a lower resolution was chosen. As a second measure to ensure the accuracy of RGC type identification, expression of type marker genes from (Tran et al., 2019) was inspected in each cluster. When measuring at the level of subclasses, the composition of RGC types was defined as in Figure 2J in Tran et al. (2019).

#### Detecting of Cre/CNTF transcript expression in dataset

A separated alignment of the reads was performed using the same cellranger count function but using a reference genome to which the WPRE (Cre-HAtag-WPRE) sequence, shared by both AAV vectors (Cre and Cntf) had been added.

Cre-HAtag-WPRE sequence:

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tccaattactgaccgtacacaaaattgctgcattaccggtgatgcaacgagtgatgaggtcgcaagaacctgatggacatgttcaggatcgccaggcgctttctgagc
atacctggaataatgcttctgctcgtttgcccgtgctggcgcatggtgcaagtgaataaccggaatggtttcccgagaaacctgaagatgttcgcgattatcttatacttcagg
cgcgcggtctggcagtaaaaactatccagcaacattgggcccagctaaacatgctcatcgctgctggcggtccacgaccaaagtgacagcaatgctgttcactggttatgcgg
cggatccgaaaagaaacggtgatgcccgtgaacgtgcaaaacaggctctagcgttcgaacgcactgatttcgaccagggtcgttcactcatggaataatagcgtatgcgcag
gatatacgtaatctggcatttctgggattgcttataacaccctgttacgtatagccgaaattgccaggatcagggttaagatatctcactgactgacggtgggagaatgttaatcca
tattggcagaacgaaacgctggttagcaccgcaggttagagaaggcacttagcctgggggtaactaaactggtcgagcgatggattccgctctggtgtgactgatgccga
ataactacctgtttgcccgggtcagaaaaatggtgttgccgcgccatctgccaccagccagctatcaactcgcgccctggaagggattttgaagcaactcatcgattgattacg
gcgtaaggatgactcgtggtcagagatacctggcctggttgacacagtgcccgtgctggagccgcgcgagatatggcccgcgtggagtttcaataccggagatcatgcaa
gctggtgctggaccaatgtaataattgtcatgaactatataccgtaacctggatagtgaaacaggggcaatggtgcgcctgctggaagatggcgattaccatagcgtatccaga
ttacgcttaaTCTAGAGTCGACCTGCAGAAGCTTatcgaTaatacaacctctggattacaaaattgtgaagattgactggtattcttaactatgttgctccttttacgctatg
tggacgctgtcttaagtctattgactgttcccgatgcttccgcttatttctcctcctgtataaactcctggtgctgtctctttagaggaggttggtggcccggtgtcaggcgaac
gtggcggtgtgtgactgctgtgtgtgacgcaacccccactggttggtggcattgccaccactgtgacgtcctttccgggactttcgtcttcccccctccattgcccagcgcggaac
tcacgcgcgcctgcttgcgcgtgctggacagggtcggcgtgttggtggcactgacaattccggtgtgtgctggggaagctgacgtccttccatggctgctgcgcctgtgttgcca
cctggtattctgcgcgggacgtccttctgctacgtcccttcggccctcaatccagcggacaccttccctccgcggcctgctgccggctctcggcctcttccgcttccgcttccg
ctcagacgagtcggatctccctttgggccgcctcccgcc
```

### **Reads alignment and analysis of plate-based full-length Smart-Seq2 dataset**

Raw reads were first trimmed by Trimmomatic (version 0.39) and then aligned to GRCm38 (Genome Reference Consortium Mouse Build 38) downloaded from ([https://cloud.biohpc.swmed.edu/index.php/s/grcm38\\_tran/download](https://cloud.biohpc.swmed.edu/index.php/s/grcm38_tran/download)) using Hisat2 (version 2.1.0). Gene expression matrices for each cell were quantified using featureCounts with GRCm38 transcriptome file version 81. Low quality cells were filtered out using the following criteria:  $\geq 500,000$  total reads mapped to genome,  $\geq 1500$  genes detected in each cell,  $\geq 40\%$  of reads mapped to the transcriptome. Count matrix calculated with Reads Per Kilobase of transcript, per Million mapped reads (RPKM) was generated for all the cells passed the filter. A similar analysis pipeline was applied for downstream analysis. To identify the RGC type to which each cell belonged, two methods were used. First, a type predictor was built from the droplet-based dataset (Tran et al., 2019) using the “XGBoost” algorithm. Second, correlation analysis was performed to seek the most similar type for each cell based on the overall gene expression. The two methods yielded consistent results, indicating reliable RGC type identification. To quantify regenerating RGC subclass contribution, numbers arising from the correlation analysis were used.

### **Measure expression of gene sets**

The overall expression of gene sets identified previously (Tran et al., 2019) or from current study were measured using gene set scores. First, genes in the list were filtered to remove those in  $< 25\%$  of cells of all types. Then, for each cell, the mean expression value of the genes in the set  $j$  ( $\text{Exp}_{i,j}$ ) and in the total transcripts ( $\text{Exp}_i$ ) were calculated. Next, the score of gene set  $j$  in cell  $i$  ( $S_{i,j}$ ) was calculated as  $= \text{Exp}_{i,j} - \text{Exp}_i$ . Finally, the averaged score of gene set  $j$  in each group was visualized in Figures 3B, 3H, and S4I.

### **Co-expression gene modules**

We used Monocle3 (Cao et al., 2019; Qiu et al., 2017; Trapnell et al., 2014) to examine gene co-expression modules in our scRNA-seq dataset. The dataset was pre-processed using the ‘preprocess\_cds’ function ( $\text{num\_dim} = 100$ ) by the “PCA” method and dimensionality was reduced using the ‘reduce\_dimensions’ function. Batch differences were corrected by the MNN method using the ‘align\_cds’ ( $\text{dimensions} = 100$ ) function and dimension reduction was repeated (Haghverdi et al., 2018). Cells were clustered using the “louvain” method (Levine et al., 2015) using the ‘cluster\_cells’ function ( $k=100$ ) and plotted by ‘UMAP’. Cluster-specific genes were identified using the ‘top\_markers’ function. To find gene co-expression modules, we input the resulting cluster-specific genes and used the ‘find\_gene\_modules’ ( $\text{resolution} = 1e-2$ ). This resulted in 6 gene expression modules (Table S6), which we then plotted for single cells using ‘UMAP’ or by cluster using ‘pheatmap’.

### **Transcriptional regulatory network analysis using SCENIC**

To identify transcriptional regulatory networks, we applied the computational method “SCENIC” (Aibar et al., 2017; van de Sande et al., 2020) to cells collected at each time point separately using expression matrix of all the genes. The function “SCENICprotocol” was run with Nextflow using the singularity image. The list of TFs, genome ranking databases and motif to transcription factor annotations database for mm10 were downloaded from <https://resources.aertslab.org/cistarget/>. For gene ranks, the 10kb upstream and 10k downstream around the transcription start site were used as search space. Visualization of the result was performed using customized R and Python scripts.

### **GO-pathway analysis of gene lists**

Gene ontology analysis was performed on the DE gene lists generated by group comparisons. Cut offs for inclusion of genes obtained from DE analysis are indicated in the individual tables (Tables S2, S3, S4, and S5). Ensemble based annotation package “EnsDb.Mmusculus.v79” and Genome wide annotation for Mouse “org.Mm.eg.db” were used by R package “clusterProfiler” (Yu et al., 2012) to identify the enriched pathways.

## **QUANTIFICATION AND STATISTICAL ANALYSIS**

### **Retinal whole mounts**

RGC density was quantified by immunostaining retina whole mounts with an antibody against RBPMS, a pan RGC marker (Rodríguez et al., 2014). Retinas were examined with epifluorescent illumination. Each quadrant was checked for signs of injection site damage, inflammation, or other damage and areas with obvious damage or inflammation were excluded from further analysis. For imaging, the temporal quadrant was avoided because it has a high density of  $\alpha$  RGCs (Bleckert et al., 2014), which, as described in results, are resilient to injury. The entirety of one of the other three quadrants was imaged by a tiled Z-stack scan by confocal microscopy on either a Zeiss 710 or Olympus Fluoview1000 scanning laser confocal microscope. A maximum projection spanning the ganglion cell layer was obtained, and the image background was adjusted using the ‘normalize local contrast’ filter in ImageJ. Quantification of RBPMS density used a semi-automated counting method, as previously described in Tran et al. (2019). Briefly, the processed image was thresholded by the ‘Otsu’ method using Cell Profiler 4.0 (Carpenter et al., 2006) to identify regions-of-interest (ROIs) that demarcated RBPMS+ cells. The resulting ROIs were then exported to a TIFF file and the centroid position of each ROI was determined using the ‘analyze\_particles’ function in ImageJ and an overlay of the original image, ROI outline, and centroid position was produced. These overlaid images were further analyzed in Matlab to determine the density of RGCs in each quadrant using custom scripts. For each retinal quadrant, the bounding regions of the quadrant were interactively selected by the user, avoiding the quadrant edges, which can have increased autofluorescence, and areas with minor damage from dissection. Mean densities were calculated as RGCs/mm<sup>2</sup>. Significance was determined by Student’s t-test and p-values were FDR adjusted for multiple comparisons.



### Retinal sections (CARTPT vs. Gal)

The fluorescent intensity of CART immunostaining and Gal in situ hybridization probe staining were quantified as previously described ([Carpenter et al., 2006](#); [Yan et al., 2020](#)). Briefly, three stained sagittal retinal sections were imaged for each condition by confocal microscopy (Zeiss 710) at 40x magnification. Z-stacked images were analyzed in ImageJ. Custom ImageJ macros were used to place circular regions of interest (ROIs; diameter, 3.44  $\mu\text{m}$ ) over all cell nuclei/somas that were positive for at least one marker. Fluorescent probe intensity was measured for each marker in each ROI in single Z-slice images. Values were background subtracted using a collection of ROIs negative for both markers. Correlation co-efficient values were calculated in Microsoft Excel and compared to randomized data.

### Axon regeneration

The cleared, whole nerve was imaged with a 20X air objective, zoom 1x. From the center of the nerve, 7 single stacks (2 $\mu\text{m}$  stack size) were maximum projected to a total volume of 14 $\mu\text{m}$  per nerve. After defining the crush site, lines spaced equidistant from each other at 500 $\mu\text{m}$  intervals from the crush site to where the longest axon could be detected were introduced for bin-by-bin axon quantification. As described previously ([Duan et al., 2015](#); [Park et al., 2008](#)), we quantified the total number of regenerating axons,  $\Sigma ad$ , using the formula  $\Sigma ad = \pi r^2 \times [\text{average axons/mm}]/t$ , where the total number of axons extending distance  $d$  in a nerve having a radius of  $r$  was estimated by summing over all sections with thickness  $t$ .

For CRISPR-Cas9 mediated KO candidates, maximum projections of Z-stack images were used to capture all regenerated axons. For image analysis, fluorescent intensity profiles along the nerve were generated by the built-in function of ImageJ: Analyze/Plot Profile. To calculate the integral of fluorescent intensity across the entire length of the nerve, a Matlab algorithm was developed ([Tian et al., 2022](#)) to quantify the “area under curve” from the plot profile data generated by ImageJ.